

**QUARTERLY STATEMENT**

OF THE

**STANDARD LIFE INSURANCE COMPANY  
OF NEW YORK**



TheStandard®

**OF WHITE PLAINS  
IN THE STATE OF NEW YORK**

TO THE

**INSURANCE DEPARTMENT**

OF THE

**STATE OF**

---

**FOR THE QUARTER ENDED  
March 31, 2022**

**2022**

LIFE, ACCIDENT AND HEALTH

FRATERNAL BENEFIT SOCIETIES

**2022**



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF MARCH 31, 2022

OF THE CONDITION AND AFFAIRS OF THE

## Standard Life Insurance Company of New York

NAIC Group Code 1348 1348 NAIC Company Code 89009 Employer's ID Number 13-4119477  
(Current) (Prior)

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [ X ] Fraternal Benefit Societies [ ]

Incorporated/Organized 04/24/2000 Commenced Business 01/01/2001

Statutory Home Office 333 Westchester Avenue, West Building, Suite 300, White Plains, NY, US 10604-2911  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 333 Westchester Avenue, West Building, Suite 300  
(Street and Number)  
White Plains, NY, US 10604-2911, 914-989-4400  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address PO Box 5031, White Plains, NY, US 10602-5031  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 333 Westchester Avenue, West Building, Suite 300  
(Street and Number)  
White Plains, NY, US 10604-2911, 914-989-4400  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.standard.com

Statutory Statement Contact Will Fundak, 971-321-7550  
(Name) (Area Code) (Telephone Number)  
Will.Fundak@standard.com, 971-321-7540  
(E-mail Address) (FAX Number)

### OFFICERS

|   |  |
|---|--|
| President and Chief Executive Officer<br><u>Daniel James McMillan</u> | Vice President, Chief Accounting Officer and Treasurer<br><u>Robert Michael Erickson CMA</u> |
| Secretary<br><u>Allison Tonia Stumbo JD</u>                           | Vice President, Corporate Actuary and Chief Risk Officer<br><u>Sally Ann Manafi FSA</u>      |

### OTHER

|  |   |  |
|--|---|--|
| <u>Christopher Matthew Beaulieu #, Vice President and Chief Investment Officer</u> | <u>Gregory Alan Chandler, Vice President, Information Technology</u>                | <u>Jeremy Spencer Horner, Vice President, Individual Disability Insurance &amp; Business Transformation Office</u> |
| <u>Foon Wei Lew #, Vice President Actuarial and Underwriting</u>                   | <u>Joanna Claire Menjivar #, Assistant Secretary</u>                                | <u>John Greg Ness, Executive Officer</u>   |
| <u>Christopher David Payne, Vice President, Employee Benefits</u>                  | <u>Claire Elizabeth Sloan, Assistant Vice President, Special Markets Operations</u> | <u>Alyssa Ann Zabloudil #, Assistant Vice President and Actuary</u>  |

### DIRECTORS OR TRUSTEES

|                                   |                                 |                                |
|-----------------------------------|---------------------------------|--------------------------------|
| <u>Ranjana Bhattacharya Clark</u> | <u>Karen Alice Ferguson</u>     | <u>Elizabeth Ellen Flynn</u>   |
| <u>Debra Dyer Horvath</u>         | <u>James Fay Lobdell</u>        | <u>Duane Charles McDougall</u> |
| <u>Daniel James McMillan</u>      | <u>Helen Elizabeth Mitchell</u> | <u>Masaru Nakamura</u>         |
| <u>John Gregory Ness</u>          | <u>Mary Frances Sammons</u>     | <u>Masaharu Shiraishi</u>      |
| <u>Shinya Uematsu</u>             |                                 |                                |

State of Oregon County of Multnomah SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

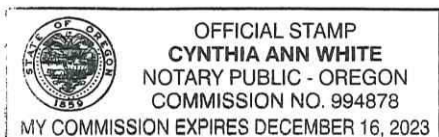
Daniel James McMillan  
President and Chief Executive Officer

Robert Michael Erickson  
Vice President, Chief Accounting Officer and Treasurer

Allison Tonia Stumbo JD  
Secretary

Subscribed and sworn to before me this 27th day of April 2022  
Cynthia Ann White

- a. Is this an original filing?..... Yes [ X ] No [ ]
- b. If no,
1. State the amendment number.....
  2. Date filed.....
  3. Number of pages attached.....



STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**ASSETS**

|  | Current Statement Date |                         |   | 4<br>December 31<br>Prior Year Net<br>Admitted Assets |
|--|------------------------|-------------------------|---|---|
|  | 1<br>Assets            | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) |   |
| 1. Bonds .....   | 168,900,666            | 0                       | 168,900,666                               | 154,257,545   |
| 2. Stocks:   |                        |                         |   |   |
| 2.1 Preferred stocks .....   | 0                      | 0                       | 0   | 0   |
| 2.2 Common stocks .....  | 0                      | 0                       | 0   | 0   |
| 3. Mortgage loans on real estate:  |                        |                         |   |   |
| 3.1 First liens .....  | 116,104,121            | 0                       | 116,104,121                               | 122,116,995   |
| 3.2 Other than first liens .....   | 0                      | 0                       | 0   | 0   |
| 4. Real estate:  |                        |                         |   |   |
| 4.1 Properties occupied by the company (less \$ .....0<br>encumbrances) .....  | 0                      | 0                       | 0   | 0   |
| 4.2 Properties held for the production of income (less<br>\$ .....0 encumbrances) .....  | 0                      | 0                       | 0   | 0   |
| 4.3 Properties held for sale (less \$ .....0<br>encumbrances) .....  | 0                      | 0                       | 0   | 0   |
| 5. Cash (\$ .....29,319,249 ), cash equivalents<br>(\$ .....0 ) and short-term<br>investments (\$ .....0 ) .....   | 29,319,249             | 0                       | 29,319,249                                | 32,234,679  |
| 6. Contract loans (including \$ .....0 premium notes) .....  | 67,420                 | 0                       | 67,420                                    | 61,885  |
| 7. Derivatives .....   | 0                      | 0                       | 0   | 0   |
| 8. Other invested assets .....   | 0                      | 0                       | 0   | 0   |
| 9. Receivables for securities .....  | 0                      | 0                       | 0   | 0   |
| 10. Securities lending reinvested collateral assets .....  | 0                      | 0                       | 0   | 0   |
| 11. Aggregate write-ins for invested assets .....  | 0                      | 0                       | 0   | 0   |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) .....  | 314,391,456            | 0                       | 314,391,456                               | 308,671,104   |
| 13. Title plants less \$ .....0 charged off (for Title insurers<br>only) .....   | 0                      | 0                       | 0   | 0   |
| 14. Investment income due and accrued .....  | 1,681,662              | 0                       | 1,681,662                                 | 1,628,883   |
| 15. Premiums and considerations:   |                        |                         |   |   |
| 15.1 Uncollected premiums and agents' balances in the course of collection .....   | 17,150,854             | 2,184,143               | 14,966,711                                | 10,646,344  |
| 15.2 Deferred premiums, agents' balances and installments booked but<br>deferred and not yet due (including \$ .....0<br>earned but unbilled premiums) ..... | 47,142                 | 0                       | 47,142                                    | 45,991  |
| 15.3 Accrued retrospective premiums (\$ .....0 ) and<br>contracts subject to redetermination (\$ .....0 ) .....  | 0                      | 0                       | 0   | 0   |
| 16. Reinsurance:   |                        |                         |   |   |
| 16.1 Amounts recoverable from reinsurers .....   | 190,750                | 0                       | 190,750                                   | 123,739   |
| 16.2 Funds held by or deposited with reinsured companies .....   | 0                      | 0                       | 0   | 0   |
| 16.3 Other amounts receivable under reinsurance contracts .....  | 41,718                 | 0                       | 41,718                                    | 47,270  |
| 17. Amounts receivable relating to uninsured plans .....   | 157,310                | 0                       | 157,310                                   | 35,000  |
| 18.1 Current federal and foreign income tax recoverable and interest thereon .....   | 0                      | 0                       | 0   | 0   |
| 18.2 Net deferred tax asset .....  | 6,034,278              | 3,971,361               | 2,062,917                                 | 2,365,521   |
| 19. Guaranty funds receivable or on deposit .....  | 2,200                  | 0                       | 2,200                                     | 2,400   |
| 20. Electronic data processing equipment and software .....  | 0                      | 0                       | 0   | 0   |
| 21. Furniture and equipment, including health care delivery assets<br>(\$ .....0 ) .....   | 786,418                | 786,418                 | 0   | 0   |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates .....   | 0                      | 0                       | 0   | 0   |
| 23. Receivables from parent, subsidiaries and affiliates .....   | 0                      | 0                       | 0   | 1,347,808   |
| 24. Health care (\$ .....0 ) and other amounts receivable .....  | 0                      | 0                       | 0   | 0   |
| 25. Aggregate write-ins for other than invested assets .....   | 1,355,235              | 1,275,327               | 79,908                                    | 79,908  |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and<br>Protected Cell Accounts (Lines 12 to 25) .....                                      | 341,839,023            | 8,217,249               | 333,621,774                               | 324,993,968   |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell<br>Accounts .....   | 0                      | 0                       | 0   | 0   |
| 28. Total (Lines 26 and 27)  | 341,839,023            | 8,217,249               | 333,621,774                               | 324,993,968   |
| <b>DETAILS OF WRITE-INS</b>  |                        |                         |   |   |
| 1101. ....   |                        |                         |   |   |
| 1102. ....   |                        |                         |   |   |
| 1103. ....   |                        |                         |   |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page .....  | 0                      | 0                       | 0   | 0   |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)  | 0                      | 0                       | 0   | 0   |
| 2501. Other assets .....   | 1,355,235              | 1,275,327               | 79,908                                    | 79,908  |
| 2502. ....   |                        |                         |   |   |
| 2503. ....   |                        |                         |   |   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page .....  | 0                      | 0                       | 0   | 0   |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)  | 1,355,235              | 1,275,327               | 79,908                                    | 79,908  |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**LIABILITIES, SURPLUS AND OTHER FUNDS**

|   | 1<br>Current<br>Statement Date | 2<br>December 31<br>Prior Year |
|---|--------------------------------|--------------------------------|
| 1. Aggregate reserve for life contracts \$ 18,243,500 less \$ 0 included in Line 6.3 (including \$ 0 Modco Reserve)   | 18,243,500                     | 19,424,245                     |
| 2. Aggregate reserve for accident and health contracts (including \$ 0 Modco Reserve)   | 127,945,972                    | 129,280,203                    |
| 3. Liability for deposit-type contracts (including \$ 0 Modco Reserve)  | 1,401,122                      | 1,397,853                      |
| 4. Contract claims:   |                                |                                |
| 4.1 Life  | 5,503,083                      | 5,014,653                      |
| 4.2 Accident and health   | 6,182,852                      | 4,808,782                      |
| 5. Policyholders' dividends/refunds to members \$ 0 and coupons \$ 0 due and unpaid   | 0                              | 0                              |
| 6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:   |                                |                                |
| 6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ 0 Modco)  | 0                              | 0                              |
| 6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ 0 Modco)  | 0                              | 0                              |
| 6.3 Coupons and similar benefits (including \$ 0 Modco)   | 0                              | 0                              |
| 7. Amount provisionally held for deferred dividend policies not included in Line 6  | 0                              | 0                              |
| 8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ 0 discount; including \$ 293,096 accident and health premiums                                       | 1,450,713                      | 1,174,696                      |
| 9. Contract liabilities not included elsewhere:   |                                |                                |
| 9.1 Surrender values on canceled contracts  | 0                              | 0                              |
| 9.2 Provision for experience rating refunds, including the liability of \$ 755,531 accident and health experience rating refunds of which \$ 0 is for medical loss ratio rebate per the Public Health Service Act | 802,772                        | 415,981                        |
| 9.3 Other amounts payable on reinsurance, including \$ 0 assumed and \$ 268,714 ceded   | 268,714                        | 265,127                        |
| 9.4 Interest Maintenance Reserve  | 20,326                         | 22,151                         |
| 10. Commissions to agents due or accrued-life and annuity contracts \$ 774,247, accident and health \$ 2,151,732 and deposit-type contract funds \$ 0   | 2,925,979                      | 2,348,824                      |
| 11. Commissions and expense allowances payable on reinsurance assumed   | 0                              | 0                              |
| 12. General expenses due or accrued   | 8,609,399                      | 8,753,416                      |
| 13. Transfers to Separate Accounts due or accrued (net) (including \$ 0 accrued for expense allowances recognized in reserves, net of reinsured allowances)   | 0                              | 0                              |
| 14. Taxes, licenses and fees due or accrued, excluding federal income taxes   | 988,127                        | 924,957                        |
| 15.1 Current federal and foreign income taxes, including \$ 61,430 on realized capital gains (losses)   | 6,005,618                      | 4,368,319                      |
| 15.2 Net deferred tax liability   | 0                              | 0                              |
| 16. Unearned investment income  | 0                              | 0                              |
| 17. Amounts withheld or retained by reporting entity as agent or trustee  | 748,778                        | 956,124                        |
| 18. Amounts held for agents' account, including \$ 0 agents' credit balances  | 0                              | 0                              |
| 19. Remittances and items not allocated   | 1,312,469                      | 1,222,370                      |
| 20. Net adjustment in assets and liabilities due to foreign exchange rates  | 0                              | 0                              |
| 21. Liability for benefits for employees and agents if not included above   | 0                              | 0                              |
| 22. Borrowed money \$ 0 and interest thereon \$ 0   | 0                              | 0                              |
| 23. Dividends to stockholders declared and unpaid   | 0                              | 0                              |
| 24. Miscellaneous liabilities:  |                                |                                |
| 24.01 Asset valuation reserve   | 1,896,134                      | 1,900,059                      |
| 24.02 Reinsurance in unauthorized and certified (\$ 0 ) companies   | 0                              | 0                              |
| 24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ 0 ) reinsurers  | 0                              | 0                              |
| 24.04 Payable to parent, subsidiaries and affiliates  | 396,660                        | 99,504                         |
| 24.05 Drafts outstanding  | 0                              | 0                              |
| 24.06 Liability for amounts held under uninsured plans  | 0                              | 0                              |
| 24.07 Funds held under coinsurance  | 0                              | 0                              |
| 24.08 Derivatives   | 0                              | 0                              |
| 24.09 Payable for securities  | 10,943,165                     | 0                              |
| 24.10 Payable for securities lending  | 0                              | 0                              |
| 24.11 Capital notes \$ 0 and interest thereon \$ 0  | 0                              | 0                              |
| 25. Aggregate write-ins for liabilities   | 180,377                        | 153,646                        |
| 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)  | 195,825,760                    | 182,530,910                    |
| 27. From Separate Accounts Statement  | 0                              | 0                              |
| 28. Total liabilities (Lines 26 and 27)   | 195,825,760                    | 182,530,910                    |
| 29. Common capital stock  | 2,000,000                      | 2,000,000                      |
| 30. Preferred capital stock   | 0                              | 0                              |
| 31. Aggregate write-ins for other than special surplus funds  | 0                              | 0                              |
| 32. Surplus notes   | 0                              | 0                              |
| 33. Gross paid in and contributed surplus   | 55,450,000                     | 55,450,000                     |
| 34. Aggregate write-ins for special surplus funds   | 0                              | 0                              |
| 35. Unassigned funds (surplus)  | 80,346,014                     | 85,013,058                     |
| 36. Less treasury stock, at cost:   |                                |                                |
| 36.1 0 shares common (value included in Line 29 \$ 0 )  | 0                              | 0                              |
| 36.2 0 shares preferred (value included in Line 30 \$ 0 )   | 0                              | 0                              |
| 37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ 0 in Separate Accounts Statement)   | 135,796,014                    | 140,463,058                    |
| 38. Totals of Lines 29, 30 and 37   | 137,796,014                    | 142,463,058                    |
| 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)   | 333,621,774                    | 324,993,968                    |
| <b>DETAILS OF WRITE-INS</b>   |                                |                                |
| 2501. Accrued interest and other liabilities  | 101,667                        | 89,978                         |
| 2502. Funds held for escheatment  | 78,710                         | 63,668                         |
| 2503. ....  |                                |                                |
| 2598. Summary of remaining write-ins for Line 25 from overflow page   | 0                              | 0                              |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)   | 180,377                        | 153,646                        |
| 3101. ....  |                                |                                |
| 3102. ....  |                                |                                |
| 3103. ....  |                                |                                |
| 3198. Summary of remaining write-ins for Line 31 from overflow page   | 0                              | 0                              |
| 3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)   | 0                              | 0                              |
| 3401. ....  |                                |                                |
| 3402. ....  |                                |                                |
| 3403. ....  |                                |                                |
| 3498. Summary of remaining write-ins for Line 34 from overflow page   | 0                              | 0                              |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)   | 0                              | 0                              |

**SUMMARY OF OPERATIONS**

|  | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| 1. Premiums and annuity considerations for life and accident and health contracts  | 32,337,518                   | 28,358,001                 | 118,288,670                          |
| 2. Considerations for supplementary contracts with life contingencies  | 0                            | 0                          | 0                                    |
| 3. Net investment income   | 2,634,613                    | 2,790,547                  | 11,347,562                           |
| 4. Amortization of Interest Maintenance Reserve (IMR)  | 1,825                        | (2,816)                    | (9,711)                              |
| 5. Separate Accounts net gain from operations excluding unrealized gains or losses   | 0                            | 0                          | 0                                    |
| 6. Commissions and expense allowances on reinsurance ceded   | 126,182                      | 117,951                    | 626,488                              |
| 7. Reserve adjustments on reinsurance ceded  | 0                            | 0                          | 0                                    |
| 8. Miscellaneous Income:   |                              |                            |                                      |
| 8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts  | 0                            | 0                          | 0                                    |
| 8.2 Charges and fees for deposit-type contracts  | 0                            | 0                          | 0                                    |
| 8.3 Aggregate write-ins for miscellaneous income   | 49,374                       | 63,406                     | 274,739                              |
| 9. Totals (Lines 1 to 8.3)   | 35,149,512                   | 31,327,089                 | 130,527,747                          |
| 10. Death benefits   | 7,180,151                    | 9,146,166                  | 27,694,447                           |
| 11. Matured endowments (excluding guaranteed annual pure endowments)   | 0                            | 0                          | 0                                    |
| 12. Annuity benefits   | 0                            | 0                          | 0                                    |
| 13. Disability benefits and benefits under accident and health contracts   | 14,656,189                   | 12,299,482                 | 50,094,685                           |
| 14. Coupons, guaranteed annual pure endowments and similar benefits  | 0                            | 0                          | 0                                    |
| 15. Surrender benefits and withdrawals for life contracts  | 408                          | 4,468                      | 26,953                               |
| 16. Group conversions  | 0                            | 0                          | 0                                    |
| 17. Interest and adjustments on contract or deposit-type contract funds  | 4,600                        | 21,311                     | 30,051                               |
| 18. Payments on supplementary contracts with life contingencies  | 0                            | 0                          | 0                                    |
| 19. Increase in aggregate reserves for life and accident and health contracts  | (2,514,977)                  | 2,564,293                  | (3,841,857)                          |
| 20. Totals (Lines 10 to 19)  | 19,326,371                   | 24,035,720                 | 74,004,279                           |
| 21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)  | 3,523,057                    | 3,528,499                  | 12,499,480                           |
| 22. Commissions and expense allowances on reinsurance assumed  | 0                            | 0                          | 0                                    |
| 23. General insurance expenses and fraternal expenses  | 3,388,747                    | 3,373,465                  | 11,794,821                           |
| 24. Insurance taxes, licenses and fees, excluding federal income taxes   | 1,075,123                    | 893,770                    | 4,403,441                            |
| 25. Increase in loading on deferred and uncollected premiums   | 18,604                       | 27,066                     | 67,522                               |
| 26. Net transfers to or (from) Separate Accounts net of reinsurance  | 0                            | 0                          | 0                                    |
| 27. Aggregate write-ins for deductions   | 0                            | 0                          | 19,016                               |
| 28. Totals (Lines 20 to 27)  | 27,331,902                   | 31,858,520                 | 102,788,559                          |
| 29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)   | 7,817,610                    | (531,431)                  | 27,739,189                           |
| 30. Dividends to policyholders and refunds to members  | 0                            | 0                          | 0                                    |
| 31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)  | 7,817,610                    | (531,431)                  | 27,739,189                           |
| 32. Federal and foreign income taxes incurred (excluding tax on capital gains)   | 1,637,300                    | (94,839)                   | 6,369,760                            |
| 33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) | 6,180,310                    | (436,592)                  | 21,369,429                           |
| 34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 0 (excluding taxes of \$ 0 transferred to the IMR)        | 0                            | (27,818)                   | (49,683)                             |
| 35. Net income (Line 33 plus Line 34)  | 6,180,310                    | (464,410)                  | 21,319,746                           |
| <b>CAPITAL AND SURPLUS ACCOUNT</b>   |                              |                            |                                      |
| 36. Capital and surplus, December 31, prior year   | 142,463,058                  | 128,727,163                | 128,727,163                          |
| 37. Net income (Line 35)   | 6,180,310                    | (464,410)                  | 21,319,746                           |
| 38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0   | 0                            | (9,714)                    | 135,992                              |
| 39. Change in net unrealized foreign exchange capital gain (loss)  | 0                            | 0                          | 0                                    |
| 40. Change in net deferred income tax  | (155,527)                    | (686,888)                  | (115,384)                            |
| 41. Change in nonadmitted assets   | 304,249                      | 3,301,656                  | 2,271,091                            |
| 42. Change in liability for reinsurance in unauthorized and certified companies  | 0                            | 0                          | 0                                    |
| 43. Change in reserve on account of change in valuation basis, (increase) or decrease  | 0                            | 0                          | 0                                    |
| 44. Change in asset valuation reserve  | 3,924                        | (56,402)                   | 124,450                              |
| 45. Change in treasury stock   | 0                            | 0                          | 0                                    |
| 46. Surplus (contributed to) withdrawn from Separate Accounts during period  | 0                            | 0                          | 0                                    |
| 47. Other changes in surplus in Separate Accounts Statement  | 0                            | 0                          | 0                                    |
| 48. Change in surplus notes  | 0                            | 0                          | 0                                    |
| 49. Cumulative effect of changes in accounting principles  | 0                            | 0                          | 0                                    |
| 50. Capital changes:   |                              |                            |                                      |
| 50.1 Paid in   | 0                            | 0                          | 0                                    |
| 50.2 Transferred from surplus (Stock Dividend)   | 0                            | 0                          | 0                                    |
| 50.3 Transferred to surplus  | 0                            | 0                          | 0                                    |
| 51. Surplus adjustment:  |                              |                            |                                      |
| 51.1 Paid in   | 0                            | 0                          | 0                                    |
| 51.2 Transferred to capital (Stock Dividend)   | 0                            | 0                          | 0                                    |
| 51.3 Transferred from capital  | 0                            | 0                          | 0                                    |
| 51.4 Change in surplus as a result of reinsurance  | 0                            | 0                          | 0                                    |
| 52. Dividends to stockholders  | (11,000,000)                 | 0                          | (10,000,000)                         |
| 53. Aggregate write-ins for gains and losses in surplus  | 0                            | 0                          | 0                                    |
| 54. Net change in capital and surplus for the year (Lines 37 through 53)   | (4,667,044)                  | 2,084,242                  | 13,735,895                           |
| 55. Capital and surplus, as of statement date (Lines 36 + 54)  | 137,796,014                  | 130,811,405                | 142,463,058                          |
| <b>DETAILS OF WRITE-INS</b>  |                              |                            |                                      |
| 08.301. Miscellaneous income   | 49,374                       | 63,406                     | 274,739                              |
| 08.302.  |                              |                            |                                      |
| 08.303.  |                              |                            |                                      |
| 08.398. Summary of remaining write-ins for Line 8.3 from overflow page   | 0                            | 0                          | 0                                    |
| 08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)  | 49,374                       | 63,406                     | 274,739                              |
| 2701. Miscellaneous expenses   | 0                            | 0                          | 18,962                               |
| 2702. Late filing fees   | 0                            | 0                          | 54                                   |
| 2703.  |                              |                            |                                      |
| 2798. Summary of remaining write-ins for Line 27 from overflow page  | 0                            | 0                          | 0                                    |
| 2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)  | 0                            | 0                          | 19,016                               |
| 5301.  |                              |                            |                                      |
| 5302.  |                              |                            |                                      |
| 5303.  |                              |                            |                                      |
| 5398. Summary of remaining write-ins for Line 53 from overflow page  | 0                            | 0                          | 0                                    |
| 5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)  | 0                            | 0                          | 0                                    |

## STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**CASH FLOW**

|   | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| <b>Cash from Operations</b>   |                              |                            |                                      |
| 1. Premiums collected net of reinsurance .....  | 29,471,966                   | 30,013,273                 | 116,615,886                          |
| 2. Net investment income .....  | 2,581,574                    | 2,661,750                  | 11,403,447                           |
| 3. Miscellaneous income .....   | 175,556                      | 181,357                    | 901,227                              |
| 4. Total (Lines 1 to 3) .....   | 32,229,096                   | 32,856,380                 | 128,920,560                          |
| 5. Benefit and loss related payments .....  | 20,042,271                   | 22,787,451                 | 77,804,235                           |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                                | 0                            | 0                          | 0                                    |
| 7. Commissions, expenses paid and aggregate write-ins for deductions .....  | 7,563,419                    | 8,068,638                  | 27,237,400                           |
| 8. Dividends paid to policyholders .....  | 0                            | 0                          | 0                                    |
| 9. Federal and foreign income taxes paid (recovered) net of \$ ..... 0 tax on capital<br>gains (losses) .....               | 0                            | 0                          | 2,872,548                            |
| 10. Total (Lines 5 through 9) .....   | 27,605,690                   | 30,856,089                 | 107,914,183                          |
| 11. Net cash from operations (Line 4 minus Line 10) .....   | 4,623,406                    | 2,000,291                  | 21,006,377                           |
| <b>Cash from Investments</b>  |                              |                            |                                      |
| 12. Proceeds from investments sold, matured or repaid:  |                              |                            |                                      |
| 12.1 Bonds .....  | 0                            | 4,500,000                  | 27,293,611                           |
| 12.2 Stocks .....   | 0                            | 0                          | 0                                    |
| 12.3 Mortgage loans .....   | 6,012,874                    | 2,589,207                  | 16,080,626                           |
| 12.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 12.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....                                       | 0                            | 0                          | 0                                    |
| 12.7 Miscellaneous proceeds .....   | 10,943,165                   | 3,500,000                  | 0                                    |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) .....   | 16,956,039                   | 10,589,207                 | 43,374,237                           |
| 13. Cost of investments acquired (long-term only):  |                              |                            |                                      |
| 13.1 Bonds .....  | 14,642,861                   | 15,946,125                 | 47,276,364                           |
| 13.2 Stocks .....   | 0                            | 0                          | 0                                    |
| 13.3 Mortgage loans .....   | 0                            | 4,508,100                  | 4,996,025                            |
| 13.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 13.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 13.6 Miscellaneous applications .....   | 0                            | 0                          | 0                                    |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) .....  | 14,642,861                   | 20,454,225                 | 52,272,389                           |
| 14. Net increase (or decrease) in contract loans and premium notes .....  | 5,535                        | 1,362                      | 6,424                                |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....   | 2,307,643                    | (9,866,380)                | (8,904,576)                          |
| <b>Cash from Financing and Miscellaneous Sources</b>  |                              |                            |                                      |
| 16. Cash provided (applied):  |                              |                            |                                      |
| 16.1 Surplus notes, capital notes .....   | 0                            | 0                          | 0                                    |
| 16.2 Capital and paid in surplus, less treasury stock .....   | 0                            | 0                          | 0                                    |
| 16.3 Borrowed funds .....   | 0                            | 0                          | 0                                    |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities .....   | 3,269                        | (1,336,158)                | (398,050)                            |
| 16.5 Dividends to stockholders .....  | 11,000,000                   | 0                          | 10,000,000                           |
| 16.6 Other cash provided (applied) .....  | 1,150,252                    | 273,848                    | (1,219,974)                          |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5<br>plus Line 16.6) ..... | (9,846,479)                  | (1,062,310)                | (11,618,024)                         |
| <b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>  |                              |                            |                                      |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....                   | (2,915,430)                  | (8,928,399)                | 483,777                              |
| 19. Cash, cash equivalents and short-term investments:  |                              |                            |                                      |
| 19.1 Beginning of year .....  | 32,234,679                   | 31,750,902                 | 31,750,902                           |
| 19.2 End of period (Line 18 plus Line 19.1) .....   | 29,319,249                   | 22,822,503                 | 32,234,679                           |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

|  |   |          |          |
|--|---|----------|----------|
| 20.0001. Mortgage loans capitalized interest ..... | 0 | (13,855) | (27,889) |
|--|---|----------|----------|

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

|   | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| 1. Industrial life .....  | 0                            | 0                          | 0                                    |
| 2. Ordinary life insurance .....  | 65,325                       | 64,240                     | 320,471                              |
| 3. Ordinary individual annuities .....                                    | 0                            | 0                          | 0                                    |
| 4. Credit life (group and individual) .....                               | 0                            | 0                          | 0                                    |
| 5. Group life insurance .....   | 7,989,963                    | 8,284,056                  | 31,252,741                           |
| 6. Group annuities .....  | 0                            | 0                          | 0                                    |
| 7. A & H - group .....  | 20,056,149                   | 18,347,077                 | 82,193,999                           |
| 8. A & H - credit (group and individual) .....                            | 0                            | 0                          | 0                                    |
| 9. A & H - other .....  | 2,121,552                    | 1,818,539                  | 8,270,495                            |
| 10. Aggregate of all other lines of business .....                        | 0                            | 0                          | 0                                    |
| 11. Subtotal (Lines 1 through 10) .....                                   | 30,232,989                   | 28,513,912                 | 122,037,706                          |
| 12. Fraternal (Fraternal Benefit Societies Only) .....                    | 0                            | 0                          | 0                                    |
| 13. Subtotal (Lines 11 through 12) .....                                  | 30,232,989                   | 28,513,912                 | 122,037,706                          |
| 14. Deposit-type contracts .....  | 0                            | 0                          | 0                                    |
| 15. Total (Lines 13 and 14)   | 30,232,989                   | 28,513,912                 | 122,037,706                          |
| DETAILS OF WRITE-INS  |                              |                            |                                      |
| 1001. ....  |                              |                            |                                      |
| 1002. ....  |                              |                            |                                      |
| 1003. ....  |                              |                            |                                      |
| 1098. Summary of remaining write-ins for Line 10 from overflow page ..... | 0                            | 0                          | 0                                    |
| 1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)           | 0                            | 0                          | 0                                    |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

A. Accounting Practices

The Standard Life Insurance Company of New York (the “Company”) was licensed in New York in 2000. The Company currently markets group life and accidental death and dismemberment insurance, group and individual disability insurance, group dental and vision insurance, group supplemental insurance, and family leave and absence management products in New York through brokers and its own representatives.

The accompanying statutory basis financial statements of the Company have been prepared in conformity with accounting practices prescribed or permitted by the New York State Department of Financial Services (“Department”). Only statutory accounting practices so prescribed or permitted by the Department can be used in determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under New York Insurance Law.

The National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures Manual, (“NAIC SAP”), including the Statements of Statutory Accounting Principles (“SSAP”) as updated by the NAIC, has been adopted with some exceptions by the Department.

A reconciliation of the Company’s net income and surplus between the NAIC SAP and practices prescribed and permitted by the State of New York is shown below:

|  | SSAP # | F/S<br>Page | F/S<br>Line # | March 31,<br>2022     | December 31,<br>2021  |
|--|--------|-------------|---------------|-----------------------|-----------------------|
| <b><u>NET INCOME</u></b>   |        |             |               |                       |                       |
| (1) The Standard Life Insurance Company of New York state basis (Page 4, Line 35, Columns 1 & 3) | XXX    | XXX         | XXX           | \$ 6,180,310          | \$ 21,319,746         |
| (2) State Prescribed Practices that are an increase/decrease from NAIC SAP:                      |        |             |               | -                     | -                     |
| (3) State Permitted Practices that are an increase/decrease from NAIC SAP:                       |        |             |               | -                     | -                     |
| (4) NAIC SAP (1-2-3=4)   | XXX    | XXX         | XXX           | <u>\$ 6,180,310</u>   | <u>\$ 21,319,746</u>  |
| <b><u>SURPLUS</u></b>  |        |             |               |                       |                       |
| (5) The Standard Life Insurance Company of New York state basis (Page 3, Line 38, Columns 1 & 2) | XXX    | XXX         | XXX           | \$ 137,796,014        | \$ 142,463,058        |
| (6) State Prescribed Practices that are an increase/decrease from NAIC SAP:                      |        |             |               | -                     | -                     |
| (7) State Permitted Practices that are an increase/decrease from NAIC SAP:                       |        |             |               | -                     | -                     |
| (8) NAIC SAP (5-6-7=8)   | XXX    | XXX         | XXX           | <u>\$ 137,796,014</u> | <u>\$ 142,463,058</u> |

B. Use of Estimates in the Preparation of the Financial Statements

There was no significant change in the use of estimates for the first three months of 2022.

C. Accounting Policy

(2) The Company did not have any SVO-Identified investments that are being reported at a different measurement from that used in a prior period.

(6) Loan-backed and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43R – *Loan-Backed and Structured Securities*. For loan-backed and structured securities, the effective yield is based on estimated cash flows, including prepayment assumptions based on data from widely accepted third-party data sources. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method.

D. Going Concern

Management does not have any substantial doubt about the Company’s ability to continue as a going concern.



STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

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2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

Accounting Changes

There were no significant accounting changes for the first three months of 2022.

Corrections of Errors

There were no corrections of errors for the first three months of 2022.

3. BUSINESS COMBINATIONS AND GOODWILL

The Company was not a direct party to any business combinations and did not have any goodwill as of March 31, 2022.

4. DISCONTINUED OPERATIONS

The Company did not have any discontinued operations for the first three months of 2022.

5. INVESTMENTS

Fixed maturity securities represented 53.7% and commercial mortgage loans represented 36.9% of total cash and invested assets as of March 31, 2022.

A. Mortgage Loans, including Mezzanine Real Estate Loans

The Company had no net realized losses attributable to the disposal of commercial mortgage loans for the first three months of 2022 and 2021.

B. Debt Restructuring

The Company did not hold any commercial mortgage loans in good standing with restructured terms as of March 31, 2022, or December 31, 2021.

C. Reverse Mortgages

The Company did not have any reverse mortgages as of March 31, 2022.

D. Loan-Backed Securities

(1) The Company did not hold any loan-backed or structured securities as of March 31, 2022.

(2) a. The Company did not recognize any other-than-temporary impairments (“OTTI”) for loan-backed and structured securities on the basis of the intent to sell for the first three months of 2022.

b. The Company did not recognize any OTTI on the basis of the inability or lack of intent to hold the loan-backed and structured securities for a period of time sufficient to recover the amortized cost basis for the first three months of 2022.

(3) The Company did not recognize any OTTI on loan-backed and structured securities where the present value of cash flows expected to be collected was less than the amortized cost basis for the first three months of 2022.

(4) As of March 31, 2022, all impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:

|                        |    |           |
|------------------------|----|-----------|
| 1. Less than 12 months | \$ | 4,442,290 |
| 2. 12 months or longer |    | 1,073,858 |

b. The aggregate related fair value of securities with unrealized losses:

|                        |    |            |
|------------------------|----|------------|
| 1. Less than 12 months | \$ | 67,899,554 |
| 2. 12 months or longer |    | 5,897,622  |

(5) The Company expects the fair value of the fixed maturity securities above to recover as the fixed maturity securities approach their maturity dates or sooner if market yields for such fixed maturity securities decline. The Company does not believe that any of the fixed maturity securities are impaired due to credit quality or due to any company or industry specific event. Based on the Company’s evaluation of the securities and intent to hold the securities, and as it is unlikely that the Company will be required to sell the securities, none of the unrealized losses summarized above are considered other-than-temporary.

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

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E. Dollar Repurchase Agreements and/or Securities Lending Transactions

The Company did not have any dollar repurchase agreements or securities lending transactions for the first three months of 2022.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any repurchase agreements transactions accounted for as secured borrowing for the first three months of 2022.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing for the first three months of 2022.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any repurchase agreements transactions accounted for as a sale for the first three months of 2022.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any reverse repurchase agreements transactions accounted for as a sale for the first three months of 2022.

J. Real Estate

The Company did not hold any real estate investments for the first three months of 2022.

K. Low-Income Housing Tax Credits ("LIHTC")

The Company did not have any LIHTC investments as of March 31, 2022.

L. Restricted Assets

There was no significant change in restricted assets for the first three months of 2022.

M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of March 31, 2022.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have offsetting and netting of assets and liabilities as of March 31, 2022.

O. 5GI Securities

The Company did not have any 5GI securities as of March 31, 2022.

P. Short Sales

(1) Unsettled Short Sale Transactions (Outstanding as of Reporting Date)

The Company did not have any unsettled short sale transactions outstanding as of March 31, 2022.

(2) Settled Short Sale Transactions

The Company did not have any settled short sale transactions for the first three months of 2022.

Q. Prepayment Penalty and Acceleration Fees

During the first three months of 2022, the Company had no fixed maturity securities disposed as a result of a callable feature.

R. Reporting Entity's Share of Cash Pool by Asset Type

The Company did not invest in cash pools for the first three months of 2022.

6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

The Company did not have investments in joint ventures, partnerships or limited liability companies as of March 31, 2022.

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

7. INVESTMENT INCOME

There was no significant change in investment income for the first three months of 2022.

8. DERIVATIVE INSTRUMENTS

The Company did not have any derivative instruments as of March 31, 2022.

9. INCOME TAXES

There was no significant change in income taxes for the first three months of 2022.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES

A. & B. The Company purchases commercial mortgage loans from its affiliate, Standard Insurance Company (“Standard”), which are originated by the Company’s affiliate StanCorp Mortgage Investors, LLC (“StanCorp Mortgage Investors”). Total commercial mortgage loans purchased from Standard at fair value for the first three months of 2022 and 2021 were zero and \$4.5 million, respectively.

In March 2022, the Company made an ordinary cash distribution of \$11.0 million to its parent, StanCorp.

C. Transactions with related parties who are not reported on Schedule Y

Schedule Y includes all material transactions involving the Company and related parties on Schedule Y.

D. The Company reported the following net amounts due (to) from its affiliates:

|                                | <b>March 31, 2022</b> | <b>December 31, 2021</b> |
|--------------------------------|-----------------------|--------------------------|
| Standard                       | \$ (143,981)          | \$ 1,344,330             |
| StanCorp Mortgage Investors    | \$ (252,679)          | \$ 3,478                 |
| StanCorp                       | \$ -                  | \$ (99,504)              |
| Total due (to) from affiliates | \$ (396,660)          | \$ 1,248,304             |

All amounts due from or due to the Company and its parent or affiliates are generally settled monthly and accrue interest if not settled within 30 days. For the intercompany tax agreement, accounts are generally settled within 90 days after the tax return is filed and accrue interest if not settled.

E. The Company had no significant change to service agreements with its affiliates.

F. The Company has made no guarantee or agreement for the benefit of an affiliate which results in material contingent exposure of the Company’s assets or liabilities.

G. All outstanding shares of the Company are owned by its parent, StanCorp, domiciled in the State of Oregon.

H. The Company did not own any investments of its parent, StanCorp, or Meiji Yasuda Life Insurance Company, the ultimate holding company domiciled in Japan.

I. The Company had no investments in its parent or affiliates that exceed 10% of its admitted assets.

J. The Company did not hold investments in impaired subsidiary, controlled or affiliated (“SCA”) companies.

K. The Company did not hold an investment in a foreign insurance subsidiary.

L. The Company did not hold an investment in a downstream noninsurance holding company.

M. The Company did not hold investments in SCA companies.

N. The Company did not hold investments in insurance SCA companies.

O. The Company did not have any share of losses in investments in SCA companies.

11. DEBT

A. The Company had no outstanding debt as of March 31, 2022.

B. Federal Home Loan Bank (“FHLB”) Agreements

The Company is not a member of the FHLB program and therefore did not have any FHLB agreements outstanding as of March 31, 2022.

**NOTES TO FINANCIAL STATEMENTS**

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**12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS****A. Defined Benefit Plans**

There was no significant change in retirement plans, deferred compensation, postemployment benefits and compensated absences and other postretirement benefit plans as of March 31, 2022.

**13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS**

A. The Company had 200,000 shares of common stock, with a par value of \$10 per share authorized, issued and outstanding as of March 31, 2022. All the shares are owned by its parent, StanCorp.

B. The Company had no preferred stock issued and outstanding as of March 31, 2022.

C. The New York State Insurance Law allows the Company, without prior regulatory clearance, to pay stockholder dividends to StanCorp in any calendar year based on either the "greater of" or "lessor of" standard. The Company is required to file notice not less than 30 days in advance with the Superintendent of Financial Services ("Superintendent) if it wants to distribute dividends in excess of the amount permitted under the standard selected and permits the Superintendent to disapprove the distribution if the Superintendent finds that the condition of the insurer does not warrant the distribution. Under New York State Insurance Law, the Superintendent has broad discretion in determining whether the financial condition of a stock life insurance company would support the payment of such dividends to its stockholders. Based on amounts for the year ended December 31, 2021, the Company could pay StanCorp a stockholder dividend of up to \$21.4 million in 2022 without prior approval of the Superintendent.

D. An ordinary cash dividend distribution in the amount of \$11.0 million was paid by the Company to its parent, StanCorp, in March 2022.

E. Within the limitations of (C) above, there were no restrictions placed on the portion of the Company's profits that may be paid as ordinary dividends to shareholders as of March 31, 2022.

F. There were no restrictions placed on the Company's surplus, including for whom the surplus is being held as of March 31, 2022.

G. There were no advances to surplus not repaid as of March 31, 2022.

H. The Company did not hold any of its own stock, including stock of affiliated companies, for special purposes as of March 31, 2022.

I. There was no change in the balance in aggregate write-ins for special surplus funds for the first three months of 2022.

J. The portion of unassigned funds (surplus) changed by cumulative unrealized gains and losses, net of federal income taxes, was zero as of March 31, 2022.

K. The Company did not have any surplus debentures or similar obligations as of March 31, 2022.

**L. Impact of quasi-reorganization**

a. The Company has not been impacted by any restatement due to prior quasi-reorganizations.

M. The effective date(s) of all quasi-reorganizations in the prior 10 years

a. The Company has not been a party to a quasi-reorganization in the 10 years from April 1, 2012 to March 31, 2022.

**14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS****A. Contingent Commitments**

(1) The Company had no contingent commitments as of March 31, 2022.

**B. Assessments**

There was no significant change in the guaranty association assessments for the first three months of 2022.

**C. Gain Contingencies**

The Company did not have any gain contingencies as of March 31, 2022.

**D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits**

The Company had no material claims relating to extra contractual or bad faith losses from lawsuits as of March 31, 2022.

**E. Joint and Several Liabilities**

The Company had no material claims relating to extra contractual obligations or bad faith losses from lawsuits as of March 31, 2022.

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

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F. All Other Contingencies

In the normal course of business, the Company is involved in various legal actions and other state and Federal proceedings. A number of these actions or proceedings were pending as of March 31, 2022. In some instances, lawsuits include claims for punitive damages and similar types of relief in unspecified or substantial amounts, in addition to amounts for alleged contractual liability or other compensatory damages. In the opinion of management, the ultimate liability, if any, arising from these actions or proceedings is not expected to have a material effect on the Company's business, financial position, results of operations, or cash flows.

15. LEASES

There was no significant change regarding leases for the first three months of 2022.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

There was no significant change regarding financial instruments with off-balance sheet risk or concentrations of credit risk for the first three months of 2022.

17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A. Transfers of Receivables Reported as Sales

The Company did not have any transfers of receivables reported as sales for the first three months of 2022.

B. Transfers and Servicing of Financial Assets

The Company did not have transfers and servicing of financial assets for the first three months of 2022.

C. Wash Sales

(1) In the course of the Company's asset management, fixed maturity securities may be sold and reacquired within 30 days of the sale date to enhance the Company's yield on its investment portfolio.

(2) No wash sales of fixed maturity securities with a NAIC designation of 3 or below, or that do not have an NAIC designation, excluding all cash equivalents, derivative instruments and short-term investments with credit assessments equivalent to an NAIC 1 or 2 designation occurred during the quarter ended March 31, 2022.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

There was no significant change in the gain or loss to the Company from uninsured plans and the uninsured portion of partially insured plans for the first three months of 2022.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

There was no significant change in direct premium written/produced by managing general agents/third party administrators for the first three months of 2022.

20. FAIR VALUE MEASUREMENTS

A. (1) The Company did not have any assets or liabilities reported at fair value on a recurring basis as of March 31, 2022.

(2) The Company did not have any assets or liabilities categorized within Level 3 of the fair value hierarchy on a recurring basis as of March 31, 2022.

(3) The Company did not have any assets or liabilities transferred into or out of Level 3 for the first three months of 2022.

(4) Valuation techniques and inputs used in the fair value measurement for assets and liabilities.

Assets and liabilities recorded at fair value are disclosed using a three-level hierarchy. The classification of assets and liabilities within the hierarchy is based on whether the inputs to the valuation methodology used for measurement are observable or unobservable. Observable inputs reflect market-derived or market-based information obtained from independent sources while unobservable inputs reflect the Company's estimates about market data.

The fair value hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three broad levels: Level 1 inputs are based upon quoted prices in active markets for identical assets or liabilities that the Company can access at the measurement date. Level 2 inputs are based upon quoted prices for similar instruments in active markets, quoted prices for identical or similar instruments in markets that are not active and model-based valuation techniques

for which all significant assumptions are observable in the market. Level 3 inputs are generated from model-based techniques that use significant assumptions not observable in the market. These unobservable assumptions reflect the Company's estimates of assumptions that market participants would use in pricing the asset or liability.

There are three types of valuation techniques used to measure assets and liabilities recorded at fair value:

- The market approach uses prices or other relevant information generated by market transactions involving identical or comparable assets or liabilities.
- The income approach uses the present value of cash flows or earnings.
- The cost approach, which uses replacement costs more readily adaptable for valuing physical assets.

The Company uses both the market and income approach in its fair value measurements. These measurements are discussed in more detail below.

#### **Fixed Maturity Securities**

Fixed maturity securities were comprised of the following bond classes:

- U.S. government.
- U.S. political subdivisions.
- U.S. special revenues.
- Industrial and miscellaneous (unaffiliated).

The fixed maturity securities were diversified across industries, issuers, and maturities. The Company calculates fair values for all fixed maturity securities using valuation techniques described below. They are placed into three levels depending on the valuation technique used to determine the fair value of the fixed maturity securities.

The Company uses independent pricing services to assist management in determining the fair value of these assets. The pricing services incorporate a variety of information observable in the market in its valuation techniques, including:

- Reported trading prices.
- Benchmark yields.
- Broker-dealer quotes.
- Benchmark securities.
- Bids and offers.
- Credit ratings.
- Relative credit information.
- Other reference data.

The pricing services also take into account perceived market movements and sector news, as well as a fixed maturity security's terms and conditions, including any features specific to that issue that may influence risk, and thus marketability. Depending on the security, the priority of the use of observable market inputs may change as some observable market inputs may not be relevant or additional inputs may be necessary.

The pricing services provide quoted market prices when available. Quoted prices are not always available due to fixed maturity security market inactivity. The pricing services obtain a broker quote when sufficient information, such as security structure or other market information, is not available to produce a valuation. Valuations and quotes obtained from third-party commercial pricing services are non-binding and do not represent quotes on which one may execute the disposition of the assets.

The significant unobservable inputs used in the fair value measurement of the reporting entity's fixed maturity securities are valuations and quotes received from analytical reviews and broker quotes. Significant increases or decreases in any of those inputs in isolation would result in a significantly lower or higher fair value measurement.

The Company performs control procedures over the external valuations at least quarterly through a combination of procedures that include an evaluation of methodologies used by the pricing services, analytical reviews, back testing of sales activity and maintenance of a securities watch list. As necessary, the Company compares prices received from the pricing services to prices independently estimated by the Company utilizing discounted cash flow models or through performing independent valuations of inputs and assumptions similar to those used by the pricing service in order to ensure prices represent a reasonable estimate of fair value. Although the Company does identify differences from time to time as a result of these validation procedures, the Company did not make any significant adjustments as of March 31, 2022 or December 31, 2021.

#### **Commercial Mortgage Loans**

For disclosure purposes, the fair values of commercial mortgage loans were estimated using a discounted cash flow valuation. The valuation includes both observable market inputs and estimated model parameters.

Significant observable inputs to the valuation include:

- Pricing for loans originated by StanCorp Mortgage Investors during the most recent quarter.
- U.S. Government treasury yields.
- The contractual terms of nearly every mortgage subject to valuation.

Significant estimated parameters include:

- The use of risk characteristics including stabilized debt coverage ratio and loan-to-value ratio.
- Variations in valuation spread between loans based on individual risk characteristics.

Valuations for commercial mortgage loans measured at fair value on a nonrecurring basis using significant unobservable Level 3 inputs are sensitive to a number of variables but are most sensitive to net operating income and the applied capitalization rate. Generally, an increase or decrease resulting from a change in the stabilized net operating income from the collateralized property would result in a directionally similar change in the fair value of the asset. An increase or decrease in the assumption for the capitalization rate would result in a directionally opposite change in the fair value of the asset.

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

(5) The Company did not have any derivative assets or liabilities as of March 31, 2022.

B. The Company does not provide additional fair value information in the notes.

C. Estimated Fair Value of All Financial Instruments

**As of March 31, 2022**

| <b>Type of Financial Instrument</b>                | <b>Aggregate Fair Value</b> | <b>Admitted Assets</b> | <b>(Level 1)</b> | <b>(Level 2)</b> | <b>(Level 3)</b> | <b>Net Asset Value (NAV)</b> | <b>Not Practicable (Carrying Value)</b> |
|--|-----------------------------|------------------------|------------------|------------------|------------------|------------------------------|---|
| Fixed maturity securities                          | \$ 165,049,948              | \$ 168,900,666         | \$ -             | \$ 165,049,948   | \$ -             | \$ -                         | \$ -                                    |
| Commercial mortgage loans                          | 122,886,693                 | 116,104,121            | -                | -                | 122,886,693      | -                            | -                                       |
| Cash, cash equivalents, and short-term investments | 29,319,249                  | 29,319,249             | 29,319,249       | -                | -                | -                            | -                                       |

**As of December 31, 2021**

| <b>Type of Financial Instrument</b>               | <b>Aggregate Fair Value</b> | <b>Admitted Assets</b> | <b>(Level 1)</b> | <b>(Level 2)</b> | <b>(Level 3)</b> | <b>Net Asset Value (NAV)</b> | <b>Not Practicable (Carrying Value)</b> |
|---|-----------------------------|------------------------|------------------|------------------|------------------|------------------------------|---|
| Fixed maturity securities                         | \$ 160,975,910              | \$ 154,257,545         | \$ -             | \$ 160,975,910   | \$ -             | \$ -                         | \$ -                                    |
| Commercial mortgage loans                         | 130,688,238                 | 122,116,995            | -                | -                | 130,688,238      | -                            | -                                       |
| Cash, cash equivalents and short-term investments | 32,234,679                  | 32,234,679             | 32,234,679       | -                | -                | -                            | -                                       |

D. Not Practicable to Estimate Fair Value

The Company did not have any investments where it was not practicable to estimate fair value as of March 31, 2022 or December 31, 2021.

E. Investments Measured Using the NAV Practical Expedient

The Company did not have any investments that were measured using the NAV practical expedient pursuant to SSAP No. 100 – *Fair Value* as of March 31, 2022.

21. OTHER ITEMS

A. Unusual or Infrequent Items

The Company did not have any unusual or infrequent items for the first three months of 2022.

B. Troubled Debt Restructuring

The Company did not have any troubled debt restructuring for the first three months of 2022.

C. Other Disclosures

The Company did not have any other disclosures for the first three months of 2022.

D. Business Interruption Insurance Recoveries

The Company did not have any business interruption insurance recoveries for the first three months of 2022.

E. State Transferable and Non-transferable Tax Credits

The Company did not have any state transferable and non-transferable tax credits as of March 31, 2022.

F. Subprime-Mortgage-Related Risk Exposure

There was no significant change in subprime-mortgage-related risk exposure for the first three months of 2022.

G. Retained Assets

The Company did not have any retained assets as of March 31, 2022.

H. Insurance-Linked Securities (“ILS”) Contracts

The Company did not directly write or assume any ILS contracts for the first three months of 2022.

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

The Company did not have any life insurance policies where it is the owner and beneficiary or has otherwise obtained rights to control the policy as of March 31, 2022.

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**NOTES TO FINANCIAL STATEMENTS**

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22. EVENTS SUBSEQUENT

Type I – Recognized Subsequent Events:

Subsequent events have been considered through May 9, 2022 for the statutory statement issued on May 13, 2022.

There were no subsequent events to be reported.

Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through May 9, 2022 for the statutory statement issued on May 13, 2022.

There were no subsequent events to be reported.

23. REINSURANCE

There was no significant change in reinsurance for the first three months of 2022.

24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION

A. Accrued Retrospective Premium Adjustments

The Company did not have any significant change in its method of calculating accrued retrospective premium adjustments or contracts subject to redetermination for the first three months of 2022.

B. Accrued Retrospective Premium Adjustments to Earned Premium

The Company did not have any significant change in the accrued retrospective premium recorded as adjustments to earned premium or contracts subject to redetermination for the first three months of 2022.

C. Net Premiums Written Subject to Retrospective Rating Features

There was no significant change in the amount of net premiums written that were subject to retrospective rating features or redetermination for the first three months of 2022.

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act

The Company did not have any medical loss ratio rebates required pursuant to the Public Health Service Act for the first three months of 2022.

E. Risk-Sharing Provisions of the Affordable Care Act (“ACA”)

The Company did not write any accident and health insurance premium subject to the ACA risk-sharing provisions for the first three months of 2022. Per SSAP No. 107 – *Risk-Sharing Provisions of the Affordable Care Act*, the ACA risk-sharing provisions include health plans in the individual or small group markets. The Company writes group dental and vision plans. Per SSAP No. 107, group plans are required to contribute funding but are not eligible to receive distributions under the risk-sharing provisions of the ACA.

25. CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

A. Reserves as of January 1, 2022 were \$136.6 million. For the first three months of 2022, \$10.9 million had been paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years were \$125.0 million as of March 31, 2022, as a result of re-estimation of unpaid claims and claim adjustment expenses. There was a \$0.7 million decrease of prior year development from January 1, 2022 to March 31, 2022. Original estimates are increased or decreased as additional information becomes known regarding individual claims. Prior years' effects are combined with current experience in determining retrospective premium, premium refunds, and renewal rates for the larger group contracts.

B. There were no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

26. INTERCOMPANY POOLING ARRANGEMENTS

The Company did not have any intercompany pooling arrangements as of March 31, 2022.

27. STRUCTURED SETTLEMENTS

The Company did not purchase any structured settlements to fulfill obligations of claimants for the first three months of 2022.



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**NOTES TO FINANCIAL STATEMENTS**

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28. HEALTH CARE RECEIVABLES

A. Pharmaceutical Rebate Receivables

The Company did not have any pharmaceutical rebate receivables as of March 31, 2022.

B. Risk-Sharing Receivables

The Company did not have any risk-sharing receivables as of March 31, 2022.

29. PARTICIPATING POLICIES

The Company did not have any participating policies for the first three months of 2022.

30. PREMIUM DEFICIENCY RESERVES

In accordance with SSAP No. 54R – *Individual and Group Accident and Health Contracts*, there was no premium deficiency reserve held as of March 31, 2022 for all individual and group accident and health contracts. Since premium deficiency reserves were unnecessary, no calculation involving anticipated investment income was performed as of March 31, 2022.

31. RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS

There was no significant change in the practice or method of calculating reserves for life and annuity contracts for the first three months of 2022.

32. ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT – TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS

There was no significant change in annuity actuarial reserves and deposit-type liabilities by withdrawal characteristics for the first three months of 2022.

33. ANALYSIS OF LIFE ACTUARIAL RESERVES BY WITHDRAWAL CHARACTERISTICS

There was no significant change in life actuarial reserves by withdrawal characteristics for the first three months of 2022.

34. PREMIUM AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

There was no significant change in premium and annuity considerations deferred and uncollected for the first three months of 2022.

35. SEPARATE ACCOUNTS

The Company did not have any Separate Accounts as of March 31, 2022.

36. LOSS/CLAIM ADJUSTMENT EXPENSES

There was no significant change to the Company's loss/claim adjustment expenses for the first three months of 2022.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [  ] No [  ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [  ] No [  ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [  ] No [  ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [  ] No [  ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [  ] No [  ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [  ] No [  ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [  ] No [  ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1              | 2                 | 3                 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [  ] No [  ] N/A [  ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2020
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/13/2018
- 6.4 By what department or departments?  
New York Department of Financial Services
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [  ] No [  ] N/A [  ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [  ] No [  ] N/A [  ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [  ] No [  ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [  ] No [  ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [  ] No [  ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1                                       | 2                      | 3   | 4   | 5    | 6   |
|---|------------------------|-----|-----|------|-----|
| Affiliate Name                          | Location (City, State) | FRB | OCC | FDIC | SEC |
| StanCorp Equities, Inc. ....            | Portland, OR .....     | NO  | NO  | NO   | YES |
| StanCorp Investment Advisers, Inc. .... | Portland, OR .....     | NO  | NO  | NO   | YES |

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....0  | \$ .....0   |
| 14.22 Preferred Stock .....   | \$ .....0  | \$ .....0   |
| 14.23 Common Stock .....  | \$ .....0  | \$ .....0   |
| 14.24 Short-Term Investments .....  | \$ .....0  | \$ .....0   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0  | \$ .....0   |
| 14.26 All Other .....   | \$ .....0  | \$ .....0   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0  | \$ .....0   |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....0  | \$ .....0   |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....0

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address                   |
|---------------------------|--|
| BNY Mellon .....          | One Wall Street New York, NY 10286 ..... |

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|--------------|------------------|------------------------------|
|              |                  |                              |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

- 17.4 If yes, give full information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|--------------------|--------------------|---------------------|-------------|
|                    |                    |                     |             |

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---------------------------------|------------------|
| Standard Management, Inc. ....  | A.....           |

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ ] No [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1                                      | 2                              | 3                             | 4   | 5   |
|--|--------------------------------|-------------------------------|---|---|
| Central Registration Depository Number | Name of Firm or Individual     | Legal Entity Identifier (LEI) | Registered With                           | Investment Management Agreement (IMA) Filed |
|  | Standard Management, Inc. .... |                               | Not a registered investment advisor ..... | NO.....                                     |

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:  
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
 b. Issuer or obligor is current on all contracted interest and principal payments.  
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
 Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:  
 a. The security was purchased prior to January 1, 2018.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.  
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.  
 Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:  
 a. The shares were purchased prior to January 1, 2019.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.  
 d. The fund only or predominantly holds bonds in its portfolio.  
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.  
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.  
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

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**GENERAL INTERROGATORIES**

**PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES**

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages ..... \$ ..... 0
- 1.12 Residential Mortgages ..... \$ ..... 0
- 1.13 Commercial Mortgages ..... \$ ..... 116,104,121
- 1.14 Total Mortgages in Good Standing ..... \$ ..... 116,104,121
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms ..... \$ ..... 0
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages ..... \$ ..... 0
- 1.32 Residential Mortgages ..... \$ ..... 0
- 1.33 Commercial Mortgages ..... \$ ..... 0
- 1.34 Total Mortgages with Interest Overdue more than Three Months ..... \$ ..... 0
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages ..... \$ ..... 0
- 1.42 Residential Mortgages ..... \$ ..... 0
- 1.43 Commercial Mortgages ..... \$ ..... 0
- 1.44 Total Mortgages in Process of Foreclosure ..... \$ ..... 0
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) ..... \$ ..... 116,104,121
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages ..... \$ ..... 0
- 1.62 Residential Mortgages ..... \$ ..... 0
- 1.63 Commercial Mortgages ..... \$ ..... 0
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate ..... \$ ..... 0
2. Operating Percentages:
- 2.1 A&H loss percent ..... 55.029 %
- 2.2 A&H cost containment percent ..... 0.000 %
- 2.3 A&H expense percent excluding cost containment expenses ..... 29.667 %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ ..... 0
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ ..... 0
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ ] No [ X ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ X ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain:  
 .....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

| Date  | Outstanding Lien Amount |
|-------|-------------------------|
| ..... | .....                   |

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

| 1<br>NAIC<br>Company<br>Code | 2<br>ID<br>Number | 3<br>Effective<br>Date | 4<br>Name of Reinsurer | 5<br>Domiciliary<br>Jurisdiction | 6<br>Type of<br>Reinsurance<br>Ceded | 7<br>Type of<br>Business<br>Ceded | 8<br>Type of Reinsurer | 9<br>Certified<br>Reinsurer<br>Rating<br>(1 through 6) | 10<br>Effective<br>Date of<br>Certified<br>Reinsurer<br>Rating |
|------------------------------|-------------------|------------------------|------------------------|----------------------------------|--------------------------------------|-----------------------------------|------------------------|--|--|
| <b>NONE</b>                  |                   |                        |                        |                                  |                                      |                                   |                        |  |  |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

| States, Etc.   | 1                 | Life Contracts          |                        | Direct Business Only  |                      |                           | 7                      |    |
|--|-------------------|-------------------------|------------------------|---|----------------------|---------------------------|------------------------|----|
|  |                   | 2                       | 3                      | 4   | 5                    | 6                         |                        |    |
|  | Active Status (a) | Life Insurance Premiums | Annuity Considerations | Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees | Other Considerations | Total Columns 2 Through 5 | Deposit-Type Contracts |    |
| 1. Alabama   | AL                | N                       | .0                     | .0  | .68                  | 0                         | .68                    | .0 |
| 2. Alaska  | AK                | N                       | .0                     | .0  | .670                 | 0                         | .670                   | .0 |
| 3. Arizona   | AZ                | N                       | .492                   | .0  | 10,884               | 0                         | 11,376                 | .0 |
| 4. Arkansas  | AR                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 5. California  | CA                | N                       | .631                   | .0  | 50,131               | 0                         | 50,762                 | .0 |
| 6. Colorado  | CO                | N                       | .732                   | .0  | 12,198               | 0                         | 12,930                 | .0 |
| 7. Connecticut   | CT                | N                       | 1,740                  | .0  | 28,278               | 0                         | 30,018                 | .0 |
| 8. Delaware  | DE                | N                       | .76                    | .0  | 2,642                | 0                         | 2,718                  | .0 |
| 9. District of Columbia  | DC                | N                       | .76                    | .0  | 1,995                | 0                         | 2,071                  | .0 |
| 10. Florida  | FL                | N                       | 12,328                 | .0  | 76,945               | 0                         | 89,273                 | .0 |
| 11. Georgia  | GA                | N                       | .377                   | .0  | 15,057               | 0                         | 15,434                 | .0 |
| 12. Hawaii   | HI                | N                       | .0                     | .0  | 302                  | 0                         | 302                    | .0 |
| 13. Idaho  | ID                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 14. Illinois   | IL                | N                       | .291                   | .0  | 3,335                | 0                         | 3,626                  | .0 |
| 15. Indiana  | IN                | N                       | .0                     | .0  | 4,133                | 0                         | 4,133                  | .0 |
| 16. Iowa   | IA                | N                       | .0                     | .0  | 1,661                | 0                         | 1,661                  | .0 |
| 17. Kansas   | KS                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 18. Kentucky   | KY                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 19. Louisiana  | LA                | N                       | .0                     | .0  | 1,638                | 0                         | 1,638                  | .0 |
| 20. Maine  | ME                | N                       | .0                     | .0  | 2,238                | 0                         | 2,238                  | .0 |
| 21. Maryland   | MD                | N                       | .885                   | .0  | 5,392                | 0                         | 6,277                  | .0 |
| 22. Massachusetts  | MA                | N                       | 1,785                  | .0  | 17,614               | 0                         | 19,399                 | .0 |
| 23. Michigan   | MI                | N                       | .76                    | .0  | 799                  | 0                         | 875                    | .0 |
| 24. Minnesota  | MN                | N                       | .124                   | .0  | 1,356                | 0                         | 1,480                  | .0 |
| 25. Mississippi  | MS                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 26. Missouri   | MO                | N                       | .0                     | .0  | 2,281                | 0                         | 2,281                  | .0 |
| 27. Montana  | MT                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 28. Nebraska   | NE                | N                       | .0                     | .0  | 978                  | 0                         | 978                    | .0 |
| 29. Nevada   | NV                | N                       | .76                    | .0  | 1,179                | 0                         | 1,255                  | .0 |
| 30. New Hampshire  | NH                | N                       | .0                     | .0  | 1,200                | 0                         | 1,200                  | .0 |
| 31. New Jersey   | NJ                | N                       | 13,591                 | .0  | 134,343              | 0                         | 147,934                | .0 |
| 32. New Mexico   | NM                | N                       | .139                   | .0  | 239                  | 0                         | 378                    | .0 |
| 33. New York   | NY                | L                       | 8,014,347              | .0  | 21,710,186           | 0                         | 29,724,533             | .0 |
| 34. North Carolina   | NC                | N                       | .801                   | .0  | 4,535                | 0                         | 5,336                  | .0 |
| 35. North Dakota   | ND                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 36. Ohio   | OH                | N                       | .983                   | .0  | 3,770                | 0                         | 4,753                  | .0 |
| 37. Oklahoma   | OK                | N                       | .0                     | .0  | 1,336                | 0                         | 1,336                  | .0 |
| 38. Oregon   | OR                | N                       | .0                     | .0  | 6,269                | 0                         | 6,269                  | .0 |
| 39. Pennsylvania   | PA                | N                       | 1,337                  | .0  | 29,437               | 0                         | 30,774                 | .0 |
| 40. Rhode Island   | RI                | N                       | .0                     | .0  | 577                  | 0                         | 577                    | .0 |
| 41. South Carolina   | SC                | N                       | 2,085                  | .0  | 1,777                | 0                         | 3,862                  | .0 |
| 42. South Dakota   | SD                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 43. Tennessee  | TN                | N                       | .200                   | .0  | 5,134                | 0                         | 5,334                  | .0 |
| 44. Texas  | TX                | N                       | .139                   | .0  | 6,986                | 0                         | 7,125                  | .0 |
| 45. Utah   | UT                | N                       | .0                     | .0  | 6,317                | 0                         | 6,317                  | .0 |
| 46. Vermont  | VT                | N                       | .0                     | .0  | 447                  | 0                         | 447                    | .0 |
| 47. Virginia   | VA                | N                       | .76                    | .0  | 11,367               | 0                         | 11,443                 | .0 |
| 48. Washington   | WA                | N                       | .76                    | .0  | 7,986                | 0                         | 8,062                  | .0 |
| 49. West Virginia  | WV                | N                       | 1,041                  | .0  | .0                   | 0                         | 1,041                  | .0 |
| 50. Wisconsin  | WI                | N                       | .0                     | .0  | 2,674                | 0                         | 2,674                  | .0 |
| 51. Wyoming  | WY                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 52. American Samoa   | AS                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 53. Guam   | GU                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 54. Puerto Rico  | PR                | N                       | .215                   | .0  | 370                  | 0                         | 585                    | .0 |
| 55. U.S. Virgin Islands  | VI                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 56. Northern Mariana Islands   | MP                | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 57. Canada   | CAN               | N                       | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 58. Aggregate Other Aliens   | OT                | XXX                     | .570                   | .0  | 978                  | 0                         | 1,548                  | .0 |
| 59. Subtotal   | XXX               |                         | 8,055,289              | .0  | 22,177,702           | 0                         | 30,232,991             | .0 |
| 90. Reporting entity contributions for employee benefits plans                             | XXX               |                         | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 91. Dividends or refunds applied to purchase paid-up additions and annuities               | XXX               |                         | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 92. Dividends or refunds applied to shorten endowment or premium paying period             | XXX               |                         | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 93. Premium or annuity considerations waived under disability or other contract provisions | XXX               |                         | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 94. Aggregate or other amounts not allocable by State                                      | XXX               |                         | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 95. Totals (Direct Business)   | XXX               |                         | 8,055,289              | .0  | 22,177,702           | 0                         | 30,232,991             | .0 |
| 96. Plus Reinsurance Assumed   | XXX               |                         | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 97. Totals (All Business)  | XXX               |                         | 8,055,289              | .0  | 22,177,702           | 0                         | 30,232,991             | .0 |
| 98. Less Reinsurance Ceded   | XXX               |                         | 26,911                 | .0  | 769,019              | 0                         | 795,930                | .0 |
| 99. Totals (All Business) less Reinsurance Ceded   | XXX               |                         | 8,028,378              | 0   | 21,408,683           | 0                         | 29,437,061             | 0  |
| <b>DETAILS OF WRITE-INS</b>  |                   |                         |                        |   |                      |                           |                        |    |
| 58001. Other Alien   | 1ZZZ              | XXX                     | .570                   | .0  | 978                  | 0                         | 1,548                  | .0 |
| 58002.   |                   | XXX                     |                        |   |                      |                           |                        |    |
| 58003.   |                   | XXX                     |                        |   |                      |                           |                        |    |
| 58998. Summary of remaining write-ins for Line 58 from overflow page                       |                   | XXX                     | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)                        |                   | XXX                     | .570                   | 0   | 978                  | 0                         | 1,548                  | 0  |
| 9401.  |                   | XXX                     |                        |   |                      |                           |                        |    |
| 9402.  |                   | XXX                     |                        |   |                      |                           |                        |    |
| 9403.  |                   | XXX                     |                        |   |                      |                           |                        |    |
| 9498. Summary of remaining write-ins for Line 94 from overflow page                        |                   | XXX                     | .0                     | .0  | .0                   | 0                         | .0                     | .0 |
| 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)                            |                   | XXX                     | 0                      | 0   | 0                    | 0                         | 0                      | 0  |

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 1      R - Registered - Non-domiciled RRGs..... 0  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0      Q - Qualified - Qualified or accredited reinsurer..... 0  
N - None of the above - Not allowed to write business in the state..... 56

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATION CHART**

| <b>Company</b>  | <b>FEIN</b> | <b>NAIC</b> | <b>LOCATION</b> | <b>Ownership</b>                  |
|---|-------------|-------------|-----------------|-----------------------------------|
| Meiji Yasuda Life Insurance Company ("MY")                            |             |             | JPN             |                                   |
| StanCorp Financial Group, Inc. ("SFG")                                | 93-1253576  |             | OR              | 100.00% owned by MY               |
| The Standard Life Insurance Company of New York                       | 13-4119477  | 89009       | NY              | 100.00% owned by SFG              |
| Standard Insurance Company ("SIC")                                    | 93-0242990  | 69019       | OR              | 100.00% owned by SFG              |
| Standard QOZ Fund, LLC  | 86-2941531  |             | OR              | 99.00% owned by SIC, 1.00% by SFG |
| StanCap Insurance Company, Inc.                                       | 46-5761825  |             | OR              | 100.00% owned by SFG              |
| Standard Retirement Services, Inc.                                    | 25-1838406  |             | OR              | 100.00% owned by SFG              |
| StanCorp Equities, Inc.   | 93-0930972  |             | OR              | 100.00% owned by SFG              |
| StanCorp Mortgage Investors, LLC. ("SMI")                             | 93-1191029  |             | OR              | 100.00% owned by SFG              |
| StanCorp Investment Advisers, Inc.                                    | 93-1296382  |             | OR              | 100.00% owned by SFG              |
| StanCorp Real Estate, LLC. ("SRE")                                    | 93-1191030  |             | OR              | 100.00% owned by SFG              |
| 1381-1399 Florin Road LLC.  | 45-3789788  |             | OR              | 100.00% owned by SRE              |
| Standard Management, Inc.   | 93-0928203  |             | OR              | 100.00% owned by SFG              |
| Standard Insurance Company Continuing Health & Welfare Benefits Trust | 93-1097066  |             | OR              | 100.00% owned by SFG              |
| The Standard Charitable Foundation                                    | 20-3997125  |             | OR              | 100.00% owned by SFG              |
| Pacific Guardian Life Insurance Company, Limited                      | 99-0108050  | 64343       | HI              | 100.00% owned by MY               |
| Meiji Yasuda America Incorporated                                     | 51-0383916  |             | NY              | 100.00% owned by MY               |
| Meiji Yasuda Europe Limited   |             |             | GBR             | 100.00% owned by MY               |
| Meiji Yasuda Asia Limited   |             |             | HKG             | 100.00% owned by MY               |
| Meiji Yasuda Asia Pacific Limited                                     |             |             | SGP             | 100.00% owned by MY               |
| Founder Meiji Yasuda Life Insurance Co., Ltd.                         |             |             | CHN             | 29.20% owned by MY                |
| PT AVRIST Assurance   |             |             | IDN             | 29.90% owned by MY                |
| TU Europa S.A.  |             |             | POL             | 33.50% owned by MY                |
| TUiR Warta S.A.   |             |             | POL             | 24.30% owned by MY                |
| Thai Life Insurance Public Company Limited                            |             |             | THA             | 15.00% owned by MY                |
| Meiji Yasuda General Insurance Co., Ltd.                              |             |             | JPN             | 100.00% owned by MY               |
| Meiji Yasuda Insurance Service Company, Limited                       |             |             | JPN             | 100.00% owned by MY               |
| Meiji Yasuda Asset Management Company Ltd.                            |             |             | JPN             | 92.90% owned by MY                |
| Meiji Yasuda Real Estate Management Company Limited                   |             |             | JPN             | 100.00% owned by MY               |
| Meiji Yasuda Life Planning Center Company, Limited                    |             |             | JPN             | 100.00% owned by MY               |



STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATION CHART**

| <b>Company</b>   | <b>FEIN</b> | <b>NAIC</b> | <b>LOCATION</b> | <b>Ownership</b>    |
|--|-------------|-------------|-----------------|---------------------|
| Meiji Yasuda System Technology Company Limited                     |             |             | JPN             | 100.00% owned by MY |
| Meiji Yasuda Payment Collection Business Services Company, Limited |             |             | JPN             | 100.00% owned by MY |
| Meiji Yasuda Office Partners Co., Ltd.                             |             |             | JPN             | 100.00% owned by MY |
| Diamond Athletics, Ltd.  |             |             | JPN             | 35.00% owned by MY  |
| Meiji Yasuda Research Institute, Inc.                              |             |             | JPN             | 100.00% owned by MY |
| Sunvenus Tachikawa Company Limited                                 |             |             | JPN             | 100.00% owned by MY |
| MST Insurance Service Co., Ltd.                                    |             |             | JPN             | 16.10% owned by MY  |
| The Mitsubishi Asset Brains Company, Limited                       |             |             | JPN             | 25.00% owned by MY  |
| KSP COMMUNITY, Inc.  |             |             | JPN             | 18.50% owned by MY  |
| Japan Pension Service Co., Ltd.                                    |             |             | JPN             | 39.70% owned by MY  |
| Meiji Yasuda Business Plus Co., Ltd.                               |             |             | JPN             | 100.00% owned by MY |
| Meiji Yasuda Trading Company, Ltd.                                 |             |             | JPN             | 100.00% owned by MY |
| RP Alpha Tokutei Mokuteki Kaisha                                   |             |             | JPN             | 100.00% owned by MY |
| Meiji Yasuda Life Foundation of Health and Welfare                 |             |             | JPN             | 100.00% owned by MY |
| Meiji Yasuda Mental Health Foundation                              |             |             | JPN             | 100.00% owned by MY |
| The Meiji Yasuda Cultural Foundation                               |             |             | JPN             | 100.00% owned by MY |
| Meiji Yasuda Health Development Foundation                         |             |             | JPN             | 100.00% owned by MY |
| NB Investment, LLC.  |             |             | JPN             | 100.00% owned by MY |
| DY Investment, LLC.  |             |             | JPN             | 100.00% owned by MY |
| KC Investment, LLC.  |             |             | JPN             | 100.00% owned by MY |
| Topaz Private Income   Parallel A, LPS                             |             |             | JPN             | 99.00% owned by MY  |
| KAS Investment, LLC  |             |             | JPN             | 99.70% owned by MY  |
| M-SMY Invesment, LLC   |             |             | JPN             | 100.00% owned by MY |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2                                 | 3                 | 4          | 5            | 6   | 7  | 8  | 9                    | 10                               | 11   | 12   | 13   | 14   | 15                                  | 16 |
|------------|-----------------------------------|-------------------|------------|--------------|-----|--|--|----------------------|----------------------------------|--|--|--|--|-------------------------------------|----|
| Group Code | Group Name                        | NAIC Company Code | ID Number  | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates  | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Yes/No) | *  |
| .1348      | Meiji Yasuda Life Insurance Group | .0000             | 93-1253576 |              |     |  | Meiji Yasuda Life Insurance Company<br>StanCorp Financial Group, Inc.<br>The Standard Life Insurance Company of New York | JPN<br>OR<br>NY      | UIP<br>UDP                       | Meiji Yasuda Life Insurance Company            | Ownership  | 0.000<br>100.000                           | Meiji Yasuda Life Insurance Company        | .NO<br>.NO                          | .1 |
| .1348      | Meiji Yasuda Life Insurance Group | .89009            | 13-4119477 |              |     |  | Standard Insurance Company   | OR                   | IA                               | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
| .1348      | Meiji Yasuda Life Insurance Group | .69019            | 93-0242990 |              |     |  | Standard QOZ Fund, LLC   | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 99.000                                     | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 86-2941531 |              |     |  | Standard QOZ Fund, LLC   | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 46-5761825 |              |     |  | StanCap Insurance Company, Inc.  | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 25-1838406 |              |     |  | Standard Retirement Services, Inc.   | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 93-0930972 |              |     |  | StanCorp Equities, Inc.  | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 93-1191029 |              |     |  | StanCorp Mortgage Investors, LLC.  | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 93-1296382 |              |     |  | StanCorp Investment Advisers, Inc.   | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 93-1191030 |              |     |  | StanCorp Real Estate, LLC  | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 45-3789788 |              |     |  | 1381-1399 Florin Road LLC  | OR                   | NIA                              | StanCorp Real Estate, LLC                      | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 93-0928203 |              |     |  | Standard Management, Inc.  | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 93-1097066 |              |     |  | Standard Insurance Company Continuing Health & Welfare Benefits Trust  | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            | 20-3997125 |              |     |  | The Standard Charitable Foundation   | OR                   | NIA                              | StanCorp Financial Group, Inc.                 | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
| .1348      | Meiji Yasuda Life Insurance Group | .64343            | 99-0108050 |              |     |  | Pacific Guardian Life Insurance Company, Limited   |                      | IA                               | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 | .2 |
|            |                                   | .00000            | 51-0383916 |              |     |  | Meiji Yasuda America Incorporated  | NY                   | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 | .3 |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Europe Limited  | GBR                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 | .2 |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Asia Limited  | HKG                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 | .4 |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Asia Pacific Limited  | SGP                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Founder Meiji Yasuda Life Insurance Co., Ltd.  |                      | IA                               | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | PT AVRIST Assurance  | IDN                  | IA                               | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 | .3 |
|            |                                   | .00000            |            |              |     |  | TU Europa S.A.   | POL                  | IA                               | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 | .2 |
|            |                                   | .00000            |            |              |     |  | TUIR Warta S.A.  | POL                  | IA                               | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 | .2 |
|            |                                   | .00000            |            |              |     |  | Thai Life Insurance Public Company Limited   | THA                  | IA                               | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda General Insurance Co., Ltd.   | JPN                  | IA                               | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Insurance Service Company, Limited  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Asset Management Company Ltd.   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 92.900                                     | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Real Estate Management Company Limited  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Life Planning Center Company, Limited   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda System Technology Company Limited   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Payment Collection Business Services Company, Limited   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Office Partners Co., Ltd.   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Diamond Athletics, Ltd.  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Research Institute, Inc.  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Sunvenus Tachikawa Company Limited   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | MST Insurance Service Co., Ltd.  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | The Mitsubishi Asset Brains Company, Limited   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | KSP COMMUNITY, Inc.  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 | .5 |
|            |                                   | .00000            |            |              |     |  | Japan Pension Service Co., Ltd.  | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Influence  | 0.000                                      | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Business Plus Co., Ltd.   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | Meiji Yasuda Trading Company, Ltd.   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |
|            |                                   | .00000            |            |              |     |  | RP Alpha Tokutei Mokuteki Kaisha   | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | .NO                                 |    |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2          | 3                 | 4         | 5            | 6   | 7  | 8  | 9                    | 10                               | 11   | 12   | 13   | 14   | 15                                  | 16 |
|------------|------------|-------------------|-----------|--------------|-----|--|--|----------------------|----------------------------------|--|--|--|--|-------------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates        | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Yes/No) | *  |
|            |            | .00000            |           |              |     |  | Meiji Yasuda Life Foundation of Health and Welfare | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | Meiji Yasuda Mental Health Foundation              | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | The Meiji Yasuda Cultural Foundation               | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | Meiji Yasuda Health Development Foundation         | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | NB Investment, LLC                                 | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | DY Investment, LLC                                 | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | KC Investment, LLC                                 | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | Topaz Private Income I Parallel A, LPS             | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 99.000                                     | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | KAS Investment, LLC                                | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 99.700                                     | Meiji Yasuda Life Insurance Company        | NO                                  |    |
|            |            | .00000            |           |              |     |  | M-SMY Investment, LLC                              | JPN                  | NIA                              | Meiji Yasuda Life Insurance Company            | Ownership  | 100.000                                    | Meiji Yasuda Life Insurance Company        | NO                                  |    |

| Asterisk | Explanation   |
|----------|---|
| 1        | Meiji Yasuda Life Insurance Company is a mutual insurance company owned by its policyholders. |
| 2        | Item has one subsidiary.  |
| 3        | Item has two subsidiaries.  |
| 4        | Item is in the process of liquidation.  |
| 5        | Item includes shares held by subsidiaries of Meiji Yasuda Life Insurance Company.             |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|   | Response |
|---|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....  | NO       |
| 2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....   | NO       |
| 3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....  | NO       |
| 4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....  | NO       |
| 5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....  | NO       |
| 6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....  | NO       |
| 7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....  | NO       |
| 8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. .... | N/A      |

**AUGUST FILING**

|  |     |
|--|-----|
| 9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. .... | N/A |
|--|-----|

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



**NONE**

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE A - VERIFICATION**

Real Estate

|  | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year .....                           |                   |                                      |
| 2. Cost of acquired:   |                   |                                      |
| 2.1 Actual cost at time of acquisition .....   |                   |                                      |
| 2.2 Additional investment made after acquisition .....                                     |                   |                                      |
| 3. Current year change in encumbrances .....   |                   |                                      |
| 4. Total gain (loss) on disposals .....  |                   |                                      |
| 5. Deduct amounts received on disposals .....  |                   |                                      |
| 6. Total foreign exchange change in book/adjusted carrying value .....                     |                   |                                      |
| 7. Deduct current year's other than temporary impairment recognized .....                  |                   |                                      |
| 8. Deduct current year's depreciation .....  |                   |                                      |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) ..... |                   |                                      |
| 10. Deduct total nonadmitted amounts .....   |                   |                                      |
| 11. Statement value at end of current period (Line 9 minus Line 10) .....                  |                   |                                      |

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

|   | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....                             | 122,116,995       | 133,173,707                          |
| 2. Cost of acquired:  |                   |                                      |
| 2.1 Actual cost at time of acquisition .....  | 0                 | 4,996,025                            |
| 2.2 Additional investment made after acquisition .....  | 0                 | 0                                    |
| 3. Capitalized deferred interest and other .....  | 0                 | 27,889                               |
| 4. Accrual of discount .....  | 0                 | 0                                    |
| 5. Unrealized valuation increase (decrease) .....   | 0                 | 0                                    |
| 6. Total gain (loss) on disposals .....   | 0                 | 0                                    |
| 7. Deduct amounts received on disposals .....   | 6,012,874         | 16,080,626                           |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees .....                                  | 0                 | 0                                    |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....                       | 0                 | 0                                    |
| 10. Deduct current year's other than temporary impairment recognized .....  | 0                 | 0                                    |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... | 116,104,121       | 122,116,995                          |
| 12. Total valuation allowance .....   | 0                 | 0                                    |
| 13. Subtotal (Line 11 plus Line 12) .....   | 116,104,121       | 122,116,995                          |
| 14. Deduct total nonadmitted amounts .....  | 0                 | 0                                    |
| 15. Statement value at end of current period (Line 13 minus Line 14) .....  | 116,104,121       | 122,116,995                          |

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

|  | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year .....                             |                   |                                      |
| 2. Cost of acquired:   |                   |                                      |
| 2.1 Actual cost at time of acquisition .....   |                   |                                      |
| 2.2 Additional investment made after acquisition .....                                       |                   |                                      |
| 3. Capitalized deferred interest and other .....   |                   |                                      |
| 4. Accrual of discount .....   |                   |                                      |
| 5. Unrealized valuation increase (decrease) .....  |                   |                                      |
| 6. Total gain (loss) on disposals .....  |                   |                                      |
| 7. Deduct amounts received on disposals .....  |                   |                                      |
| 8. Deduct amortization of premium and depreciation .....                                     |                   |                                      |
| 9. Total foreign exchange change in book/adjusted carrying value .....                       |                   |                                      |
| 10. Deduct current year's other than temporary impairment recognized .....                   |                   |                                      |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |                   |                                      |
| 12. Deduct total nonadmitted amounts .....   |                   |                                      |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                   |                   |                                      |

**NONE**

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

|   | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....                      | 154,257,545       | 134,350,672                          |
| 2. Cost of bonds and stocks acquired .....  | 14,642,861        | 47,276,364                           |
| 3. Accrual of discount .....  | 32,022            | 116,396                              |
| 4. Unrealized valuation increase (decrease) .....   | 0                 | 0                                    |
| 5. Total gain (loss) on disposals .....   | 0                 | 22,686                               |
| 6. Deduct consideration for bonds and stocks disposed of .....  | 0                 | 27,591,334                           |
| 7. Deduct amortization of premium .....   | 31,762            | 187,073                              |
| 8. Total foreign exchange change in book/adjusted carrying value .....                                    | 0                 | 0                                    |
| 9. Deduct current year's other than temporary impairment recognized .....                                 | 0                 | 0                                    |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees ..... | 0                 | 269,834                              |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....              | 168,900,666       | 154,257,545                          |
| 12. Deduct total nonadmitted amounts .....  | 0                 | 0                                    |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                                | 168,900,666       | 154,257,545                          |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation                    | 1<br>Book/Adjusted<br>Carrying Value<br>Beginning<br>of Current Quarter | 2<br>Acquisitions<br>During<br>Current Quarter | 3<br>Dispositions<br>During<br>Current Quarter | 4<br>Non-Trading Activity<br>During<br>Current Quarter | 5<br>Book/Adjusted<br>Carrying Value<br>End of<br>First Quarter | 6<br>Book/Adjusted<br>Carrying Value<br>End of<br>Second Quarter | 7<br>Book/Adjusted<br>Carrying Value<br>End of<br>Third Quarter | 8<br>Book/Adjusted<br>Carrying Value<br>December 31<br>Prior Year |
|-------------------------------------|---|--|--|--|---|--|---|---|
| <b>BONDS</b>                        |   |  |  |  |   |  |   |   |
| 1. NAIC 1 (a) .....                 | 100,062,071   | 11,649,851                                     | 0  | 2,008,259  | 113,720,181   | 0  | 0   | 100,062,071   |
| 2. NAIC 2 (a) .....                 | 54,195,474  | 2,993,010                                      | 0  | (2,007,999)  | 55,180,485  | 0  | 0   | 54,195,474  |
| 3. NAIC 3 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 4. NAIC 4 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 5. NAIC 5 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 6. NAIC 6 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 7. Total Bonds                      | 154,257,545   | 14,642,861                                     | 0  | 260  | 168,900,666   | 0  | 0   | 154,257,545   |
| <b>PREFERRED STOCK</b>              |   |  |  |  |   |  |   |   |
| 8. NAIC 1 .....                     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 9. NAIC 2 .....                     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 10. NAIC 3 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 11. NAIC 4 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 12. NAIC 5 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 13. NAIC 6 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 14. Total Preferred Stock           | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 15. Total Bonds and Preferred Stock | 154,257,545   | 14,642,861                                     | 0  | 260  | 168,900,666   | 0  | 0   | 154,257,545   |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....0 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

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Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**



**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                          | 0            | 0                               |
| 2. Cost of cash equivalents acquired .....  | 998,415      | 12,525,421                      |
| 3. Accrual of discount .....  | 0            | 0                               |
| 4. Unrealized valuation increase (decrease) .....   | 0            | 0                               |
| 5. Total gain (loss) on disposals .....   | 0            | 0                               |
| 6. Deduct consideration received on disposals .....                                       | 998,415      | 12,525,421                      |
| 7. Deduct amortization of premium .....   | 0            | 0                               |
| 8. Total foreign exchange change in book/adjusted carrying value .....                    | 0            | 0                               |
| 9. Deduct current year's other than temporary impairment recognized .....                 | 0            | 0                               |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) ..... | 0            | 0                               |
| 11. Deduct total nonadmitted amounts .....  | 0            | 0                               |
| 12. Statement value at end of current period (Line 10 minus Line 11)                      | 0            | 0                               |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1<br>Loan Number | 2<br>Location |  | 3<br>State | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Rate of Interest | 7<br>Actual Cost at Time of Acquisition | 8<br>Additional Investment Made After Acquisition | 9<br>Value of Land and Buildings |
|------------------|---------------|--|------------|----------------|--------------------|-----------------------|---|---|----------------------------------|
|                  | City          |  |            |                |                    |                       |   |   |                                  |
| <b>NONE</b>      |               |  |            |                |                    |                       |   |   |                                  |
| 3399999 - Totals |               |  |            |                |                    |                       |   |   |                                  |

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number                       | 2<br>Location   |       | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/Recorded Investment Excluding Accrued Interest Prior Year | 8<br>Change in Book Value/Recorded Investment |   |   |   |  |   | 14<br>Book Value/Recorded Investment Excluding Accrued Interest on Disposal | 15<br>Consid-eration | 16<br>Foreign Exchange Gain (Loss) on Disposal | 17<br>Realized Gain (Loss) on Disposal | 18<br>Total Gain (Loss) on Disposal |
|--|-----------------|-------|----------------|--------------------|--------------------|---|---|---|---|---|--|---|---|----------------------|--|--|-------------------------------------|
|  | City            | State |                |                    |                    |   | 8<br>Unrealized Valuation Increase (Decrease) | 9<br>Current Year's (Amortization) /Accretion | 10<br>Current Year's Other-Than-Temporary Impairment Recognized | 11<br>Capitalized Deferred Interest and Other | 12<br>Total Change in Book Value (8+9-10+11) | 13<br>Total Foreign Exchange Change in Book Value |   |                      |  |  |                                     |
| C0050104                               | INDIANAPOLIS    | IN    |                | 06/30/2020         | 03/02/2022         | 1,106,103   | 0   | 0   | 0   | 0   | 0  | 0   | 1,106,103   | 1,106,103            | 0  | 0                                      | 0                                   |
| B6090801                               | FORT MYERS      | FL    |                | 12/13/2016         | 02/28/2022         | 227,754   | 0   | 0   | 0   | 0   | 0  | 0   | 227,754   | 227,754              | 0  | 0                                      | 0                                   |
| A5071903                               | FT LAUDERDALE   | FL    |                | 12/16/2005         | 02/17/2022         | 241,818   | 0   | 0   | 0   | 0   | 0  | 0   | 241,818   | 241,818              | 0  | 0                                      | 0                                   |
| B2053002                               | MIAMI           | FL    |                | 01/18/2013         | 03/08/2022         | 435,926   | 0   | 0   | 0   | 0   | 0  | 0   | 435,926   | 435,926              | 0  | 0                                      | 0                                   |
| B1082207                               | GENEVA          | IL    |                | 07/11/2012         | 03/24/2022         | 481,379   | 0   | 0   | 0   | 0   | 0  | 0   | 481,379   | 481,379              | 0  | 0                                      | 0                                   |
| B6112803                               | WINSTON-SALEM   | NC    |                | 03/10/2017         | 01/21/2022         | 1,837,016   | 0   | 0   | 0   | 0   | 0  | 0   | 1,837,016   | 1,837,016            | 0  | 0                                      | 0                                   |
| B5101901                               | NORTH LAS VEGAS | NV    |                | 01/26/2016         | 03/11/2022         | 361,864   | 0   | 0   | 0   | 0   | 0  | 0   | 361,864   | 361,864              | 0  | 0                                      | 0                                   |
| 0199999. Mortgages closed by repayment |                 |       |                |                    |                    | 4,691,860   | 0   | 0   | 0   | 0   | 0  | 0   | 4,691,860   | 4,691,860            | 0  | 0                                      | 0                                   |
| B1112903                               | MOBILE          | AL    |                | 05/10/2012         |                    | 576,075   | 0   | 0   | 0   | 0   | 0  | 0   | 5,605   | 5,605                | 0  | 0                                      | 0                                   |
| A9042402                               | CHANDLER        | AZ    |                | 08/03/2009         |                    | 65,281  | 0   | 0   | 0   | 0   | 0  | 0   | 5,785   | 5,785                | 0  | 0                                      | 0                                   |
| A4121502                               | GILBERT         | AZ    |                | 03/16/2005         |                    | 345,405   | 0   | 0   | 0   | 0   | 0  | 0   | 8,836   | 8,836                | 0  | 0                                      | 0                                   |
| C0031211                               | MESA            | AZ    |                | 07/10/2020         |                    | 864,411   | 0   | 0   | 0   | 0   | 0  | 0   | 8,191   | 8,191                | 0  | 0                                      | 0                                   |
| B7102501                               | PHOENIX         | AZ    |                | 12/12/2017         |                    | 566,987   | 0   | 0   | 0   | 0   | 0  | 0   | 3,950   | 3,950                | 0  | 0                                      | 0                                   |
| B5120202                               | SCOTTSDALE      | AZ    |                | 04/12/2016         |                    | 1,081,265   | 0   | 0   | 0   | 0   | 0  | 0   | 8,950   | 8,950                | 0  | 0                                      | 0                                   |
| B8071201                               | SURPRISE        | AZ    |                | 10/10/2018         |                    | 610,997   | 0   | 0   | 0   | 0   | 0  | 0   | 3,636   | 3,636                | 0  | 0                                      | 0                                   |
| B6120504                               | TEMPE           | AZ    |                | 02/10/2017         |                    | 473,997   | 0   | 0   | 0   | 0   | 0  | 0   | 3,580   | 3,580                | 0  | 0                                      | 0                                   |
| B2031306                               | TUCSON          | AZ    |                | 07/11/2012         |                    | 761,416   | 0   | 0   | 0   | 0   | 0  | 0   | 7,643   | 7,643                | 0  | 0                                      | 0                                   |
| B3102306                               | ALHAMBRA        | CA    |                | 02/11/2014         |                    | 413,177   | 0   | 0   | 0   | 0   | 0  | 0   | 3,606   | 3,606                | 0  | 0                                      | 0                                   |
| A9030422                               | APPLE VALLEY    | CA    |                | 06/01/2009         |                    | 258,081   | 0   | 0   | 0   | 0   | 0  | 0   | 3,743   | 3,743                | 0  | 0                                      | 0                                   |
| B0040803                               | BAKERSFIELD     | CA    |                | 08/10/2010         |                    | 271,869   | 0   | 0   | 0   | 0   | 0  | 0   | 3,691   | 3,691                | 0  | 0                                      | 0                                   |
| B3101004                               | BAKERSFIELD     | CA    |                | 02/11/2014         |                    | 392,063   | 0   | 0   | 0   | 0   | 0  | 0   | 3,995   | 3,995                | 0  | 0                                      | 0                                   |
| B1010501                               | BELL GARDENS    | CA    |                | 04/11/2011         |                    | 369,778   | 0   | 0   | 0   | 0   | 0  | 0   | 8,415   | 8,415                | 0  | 0                                      | 0                                   |
| B4082603                               | CAMARILLO       | CA    |                | 12/10/2014         |                    | 507,765   | 0   | 0   | 0   | 0   | 0  | 0   | 4,550   | 4,550                | 0  | 0                                      | 0                                   |
| B7110902                               | CARMEL          | CA    |                | 02/13/2018         |                    | 419,414   | 0   | 0   | 0   | 0   | 0  | 0   | 4,440   | 4,440                | 0  | 0                                      | 0                                   |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number | 2 Location       |            | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest<br>Prior Year | 8 Change in Book Value/Recorded Investment             |  |  |  |  | 14<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest on<br>Disposal | 15<br>Consid-<br>eration | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total<br>Gain<br>(Loss) on<br>Disposal |  |
|------------------|------------------|------------|----------------|--------------------|--------------------|--|--|--|--|--|--|--|--------------------------|--|---|--|--|
|                  | 2<br>City        | 3<br>State |                |                    |                    |  | 8<br>Unrealized<br>Valuation<br>Increase<br>(Decrease) | 9<br>Current<br>Year's<br>(Amortization)<br>/Accretion | 10<br>Current<br>Year's Other-<br>Than-<br>Temporary<br>Impairment<br>Recognized | 11<br>Capitalized<br>Deferred<br>Interest and<br>Other | 12<br>Total<br>Change<br>in<br>Book Value<br>(8+9-10+11) |  |                          |  |   |  | 13<br>Total Foreign<br>Exchange<br>Change in<br>Book Value |
| A6121302         | CARMICHAEL       | CA         |                | 04/16/2007         |                    | 179,085  | 0  | 0  | 0  | 0  | 0  | 0  | 3,379                    | 3,379  | 0   | 0  | 0  |
| B8050402         | CHULA VISTA      | CA         |                | 07/10/2018         |                    | 816,348  | 0  | 0  | 0  | 0  | 0  | 0  | 5,163                    | 5,163  | 0   | 0  | 0  |
| B2121402         | CONCORD          | CA         |                | 03/12/2013         |                    | 551,096  | 0  | 0  | 0  | 0  | 0  | 0  | 9,260                    | 9,260  | 0   | 0  | 0  |
| B2102603         | CORONA           | CA         |                | 02/12/2013         |                    | 567,042  | 0  | 0  | 0  | 0  | 0  | 0  | 6,069                    | 6,069  | 0   | 0  | 0  |
| B7072501         | DUBLIN           | CA         |                | 10/10/2017         |                    | 1,826,183  | 0  | 0  | 0  | 0  | 0  | 0  | 19,683                   | 19,683   | 0   | 0  | 0  |
| B3030106         | EL CAJON         | CA         |                | 06/11/2013         |                    | 407,497  | 0  | 0  | 0  | 0  | 0  | 0  | 4,286                    | 4,286  | 0   | 0  | 0  |
| B4111304         | EL CAJON         | CA         |                | 02/12/2015         |                    | 526,845  | 0  | 0  | 0  | 0  | 0  | 0  | 4,600                    | 4,600  | 0   | 0  | 0  |
| B8012202         | ENCINITAS        | CA         |                | 07/10/2018         |                    | 1,131,529  | 0  | 0  | 0  | 0  | 0  | 0  | 5,447                    | 5,447  | 0   | 0  | 0  |
| B8100407         | FAIR OAKS        | CA         |                | 02/12/2019         |                    | 334,442  | 0  | 0  | 0  | 0  | 0  | 0  | 2,076                    | 2,076  | 0   | 0  | 0  |
| B0042104         | FRESNO           | CA         |                | 07/08/2010         |                    | 201,656  | 0  | 0  | 0  | 0  | 0  | 0  | 2,914                    | 2,914  | 0   | 0  | 0  |
| B2091106         | FRESNO           | CA         |                | 01/18/2013         |                    | 270,309  | 0  | 0  | 0  | 0  | 0  | 0  | 9,535                    | 9,535  | 0   | 0  | 0  |
| B3121201         | GARDENA          | CA         |                | 03/11/2014         |                    | 234,047  | 0  | 0  | 0  | 0  | 0  | 0  | 2,397                    | 2,397  | 0   | 0  | 0  |
| A8021307         | HUNTINGTON BEACH | CA         |                | 05/09/2008         |                    | 33,131   | 0  | 0  | 0  | 0  | 0  | 0  | 4,011                    | 4,011  | 0   | 0  | 0  |
| B0110302         | HUNTINGTON BEACH | CA         |                | 02/09/2011         |                    | 184,922  | 0  | 0  | 0  | 0  | 0  | 0  | 1,969                    | 1,969  | 0   | 0  | 0  |
| B2042601         | INGLEWOOD        | CA         |                | 07/11/2012         |                    | 202,333  | 0  | 0  | 0  | 0  | 0  | 0  | 3,782                    | 3,782  | 0   | 0  | 0  |
| B2051405         | LAFAYETTE        | CA         |                | 08/09/2012         |                    | 359,508  | 0  | 0  | 0  | 0  | 0  | 0  | 6,470                    | 6,470  | 0   | 0  | 0  |
| B6062105         | LAGUNA HILLS     | CA         |                | 09/13/2016         |                    | 416,307  | 0  | 0  | 0  | 0  | 0  | 0  | 4,856                    | 4,856  | 0   | 0  | 0  |
| B6062106         | LAGUNA HILLS     | CA         |                | 09/13/2016         |                    | 416,307  | 0  | 0  | 0  | 0  | 0  | 0  | 4,856                    | 4,856  | 0   | 0  | 0  |
| B2100401         | LOS ANGELES      | CA         |                | 02/12/2013         |                    | 671,707  | 0  | 0  | 0  | 0  | 0  | 0  | 6,876                    | 6,876  | 0   | 0  | 0  |
| B3031305         | LOS ANGELES      | CA         |                | 07/11/2013         |                    | 879,805  | 0  | 0  | 0  | 0  | 0  | 0  | 8,779                    | 8,779  | 0   | 0  | 0  |
| C0121007         | LOS ANGELES      | CA         |                | 03/10/2021         |                    | 2,664,072  | 0  | 0  | 0  | 0  | 0  | 0  | 17,529                   | 17,529   | 0   | 0  | 0  |
| B2121401         | MALIBU           | CA         |                | 03/12/2013         |                    | 332,438  | 0  | 0  | 0  | 0  | 0  | 0  | 3,494                    | 3,494  | 0   | 0  | 0  |
| B1082301         | MENLO PARK       | CA         |                | 12/08/2011         |                    | 575,751  | 0  | 0  | 0  | 0  | 0  | 0  | 7,043                    | 7,043  | 0   | 0  | 0  |
| B1082301-1       | MENLO PARK       | CA         |                | 02/12/2019         |                    | 174,531  | 0  | 0  | 0  | 0  | 0  | 0  | 2,135                    | 2,135  | 0   | 0  | 0  |
| B0112201         | NIPOMO           | CA         |                | 03/09/2011         |                    | 237,450  | 0  | 0  | 0  | 0  | 0  | 0  | 3,198                    | 3,198  | 0   | 0  | 0  |
| B0112202         | NIPOMO           | CA         |                | 03/09/2011         |                    | 156,028  | 0  | 0  | 0  | 0  | 0  | 0  | 2,103                    | 2,103  | 0   | 0  | 0  |
| B1110903         | NORTH HOLLYWOOD  | CA         |                | 02/21/2012         |                    | 451,059  | 0  | 0  | 0  | 0  | 0  | 0  | 5,190                    | 5,190  | 0   | 0  | 0  |
| C0041301         | OAKLAND          | CA         |                | 08/11/2020         |                    | 2,179,420  | 0  | 0  | 0  | 0  | 0  | 0  | 13,671                   | 13,671   | 0   | 0  | 0  |
| B0081802         | ONTARIO          | CA         |                | 11/09/2010         |                    | 267,610  | 0  | 0  | 0  | 0  | 0  | 0  | 3,579                    | 3,579  | 0   | 0  | 0  |
| B1110403         | OXNARD           | CA         |                | 03/08/2012         |                    | 409,409  | 0  | 0  | 0  | 0  | 0  | 0  | 4,320                    | 4,320  | 0   | 0  | 0  |
| B1062203         | PANORAMA CITY    | CA         |                | 10/11/2011         |                    | 76,760   | 0  | 0  | 0  | 0  | 0  | 0  | 10,017                   | 10,017   | 0   | 0  | 0  |
| B7072403         | PARAMOUNT        | CA         |                | 10/10/2017         |                    | 469,704  | 0  | 0  | 0  | 0  | 0  | 0  | 2,287                    | 2,287  | 0   | 0  | 0  |
| B6012806         | PASO ROBLES      | CA         |                | 06/10/2016         |                    | 385,299  | 0  | 0  | 0  | 0  | 0  | 0  | 3,106                    | 3,106  | 0   | 0  | 0  |
| B8101902         | PLEASANTON       | CA         |                | 01/10/2019         |                    | 481,722  | 0  | 0  | 0  | 0  | 0  | 0  | 2,935                    | 2,935  | 0   | 0  | 0  |
| A6070706         | RANCHO CORDOVA   | CA         |                | 10/16/2006         |                    | 220,784  | 0  | 0  | 0  | 0  | 0  | 0  | 4,607                    | 4,607  | 0   | 0  | 0  |
| B0080602         | ROCKLIN          | CA         |                | 11/09/2010         |                    | 145,386  | 0  | 0  | 0  | 0  | 0  | 0  | 3,341                    | 3,341  | 0   | 0  | 0  |
| B3032102         | ROSEVILLE        | CA         |                | 07/11/2013         |                    | 785,247  | 0  | 0  | 0  | 0  | 0  | 0  | 8,111                    | 8,111  | 0   | 0  | 0  |
| A9060501         | SAN DIEGO        | CA         |                | 08/03/2009         |                    | 151,507  | 0  | 0  | 0  | 0  | 0  | 0  | 2,214                    | 2,214  | 0   | 0  | 0  |
| B2091405         | SAN DIEGO        | CA         |                | 01/18/2013         |                    | 802,416  | 0  | 0  | 0  | 0  | 0  | 0  | 5,743                    | 5,743  | 0   | 0  | 0  |
| B3031302         | SAN DIEGO        | CA         |                | 07/11/2013         |                    | 649,329  | 0  | 0  | 0  | 0  | 0  | 0  | 6,784                    | 6,784  | 0   | 0  | 0  |
| B3041706         | SAN DIEGO        | CA         |                | 08/09/2013         |                    | 471,543  | 0  | 0  | 0  | 0  | 0  | 0  | 4,781                    | 4,781  | 0   | 0  | 0  |
| B6052006         | SAN FERNANDO     | CA         |                | 09/13/2016         |                    | 452,437  | 0  | 0  | 0  | 0  | 0  | 0  | 3,631                    | 3,631  | 0   | 0  | 0  |
| B5100901         | SAN FRANCISCO    | CA         |                | 01/26/2016         |                    | 942,536  | 0  | 0  | 0  | 0  | 0  | 0  | 7,744                    | 7,744  | 0   | 0  | 0  |
| A6011002         | SAN JOSE         | CA         |                | 03/17/2006         |                    | 154,858  | 0  | 0  | 0  | 0  | 0  | 0  | 5,487                    | 5,487  | 0   | 0  | 0  |
| A6082803         | SAN JOSE         | CA         |                | 12/11/2006         |                    | 536,906  | 0  | 0  | 0  | 0  | 0  | 0  | 10,834                   | 10,834   | 0   | 0  | 0  |
| A8062001         | SAN JOSE         | CA         |                | 09/11/2008         |                    | 53,732   | 0  | 0  | 0  | 0  | 0  | 0  | 7,304                    | 7,304  | 0   | 0  | 0  |
| B0031201         | SAN LUIS OBISPO  | CA         |                | 06/08/2010         |                    | 89,365   | 0  | 0  | 0  | 0  | 0  | 0  | 2,207                    | 2,207  | 0   | 0  | 0  |
| C0031209         | SAN MARCOS       | CA         |                | 07/10/2020         |                    | 723,171  | 0  | 0  | 0  | 0  | 0  | 0  | 4,879                    | 4,879  | 0   | 0  | 0  |
| A9101603         | SANTA ANA        | CA         |                | 12/03/2009         |                    | 321,010  | 0  | 0  | 0  | 0  | 0  | 0  | 4,651                    | 4,651  | 0   | 0  | 0  |
| A9101603-1       | SANTA ANA        | CA         |                | 09/10/2015         |                    | 143,761  | 0  | 0  | 0  | 0  | 0  | 0  | 2,084                    | 2,084  | 0   | 0  | 0  |
| B5010801         | SANTA ANA        | CA         |                | 04/10/2015         |                    | 827,260  | 0  | 0  | 0  | 0  | 0  | 0  | 7,690                    | 7,690  | 0   | 0  | 0  |
| B6082202         | SANTA CLARITA    | CA         |                | 02/10/2017         |                    | 817,188  | 0  | 0  | 0  | 0  | 0  | 0  | 6,394                    | 6,394  | 0   | 0  | 0  |
| B8092502         | SANTA MONICA     | CA         |                | 01/10/2019         |                    | 626,978  | 0  | 0  | 0  | 0  | 0  | 0  | 3,980                    | 3,980  | 0   | 0  | 0  |
| C0042802         | SANTA ROSA       | CA         |                | 08/11/2020         |                    | 1,933,158  | 0  | 0  | 0  | 0  | 0  | 0  | 12,754                   | 12,754   | 0   | 0  | 0  |
| A8063001         | STOCKTON         | CA         |                | 10/10/2008         |                    | 171,055  | 0  | 0  | 0  | 0  | 0  | 0  | 2,669                    | 2,669  | 0   | 0  | 0  |
| B2072501         | TEMECULA         | CA         |                | 11/09/2012         |                    | 412,462  | 0  | 0  | 0  | 0  | 0  | 0  | 4,084                    | 4,084  | 0   | 0  | 0  |
| B3121102-1       | TEMECULA         | CA         |                | 12/11/2018         |                    | 93,238   | 0  | 0  | 0  | 0  | 0  | 0  | 686                      | 686  | 0   | 0  | 0  |
| B2111903         | TUSTIN           | CA         |                | 02/12/2013         |                    | 259,606  | 0  | 0  | 0  | 0  | 0  | 0  | 8,541                    | 8,541  | 0   | 0  | 0  |
| B1113001         | VENTURA          | CA         |                | 03/08/2012         |                    | 184,940  | 0  | 0  | 0  | 0  | 0  | 0  | 1,932                    | 1,932  | 0   | 0  | 0  |
| B6040804         | WEST SACRAMENTO  | CA         |                | 06/10/2016         |                    | 1,284,312  | 0  | 0  | 0  | 0  | 0  | 0  | 10,353                   | 10,353   | 0   | 0  | 0  |

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STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number | 2 Location          |            | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest<br>Prior Year | 8 Change in Book Value/Recorded Investment             |  |  |  |  | 14<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest on<br>Disposal | 15<br>Consid-<br>eration | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total<br>Gain<br>(Loss) on<br>Disposal |  |
|------------------|---------------------|------------|----------------|--------------------|--------------------|--|--|--|--|--|--|--|--------------------------|--|---|--|--|
|                  | 2<br>City           | 3<br>State |                |                    |                    |  | 8<br>Unrealized<br>Valuation<br>Increase<br>(Decrease) | 9<br>Current<br>Year's<br>(Amortization)<br>/Accretion | 10<br>Current<br>Year's Other-<br>Than-<br>Temporary<br>Impairment<br>Recognized | 11<br>Capitalized<br>Deferred<br>Interest and<br>Other | 12<br>Total<br>Change<br>in<br>Book Value<br>(8+9-10+11) |  |                          |  |   |  | 13<br>Total Foreign<br>Exchange<br>Change in<br>Book Value |
| B8100414         | CLIFTON             | CO         |                | 01/10/2019         |                    | 317,046  | 0  | 0  | 0  | 0  | 0  | 0  | 1,870                    | 1,870  | 0   | 0  | 0  |
| B2042302         | COMMERCE CITY       | CO         |                | 08/09/2012         |                    | 212,319  | 0  | 0  | 0  | 0  | 0  | 0  | 7,579                    | 7,579  | 0   | 0  | 0  |
| B4091201         | DENVER              | CO         |                | 12/10/2014         |                    | 653,803  | 0  | 0  | 0  | 0  | 0  | 0  | 5,668                    | 5,668  | 0   | 0  | 0  |
| B4091201-1       | DENVER              | CO         |                | 03/13/2018         |                    | 523,663  | 0  | 0  | 0  | 0  | 0  | 0  | 4,481                    | 4,481  | 0   | 0  | 0  |
| B8070602         | DENVER              | CO         |                | 10/10/2018         |                    | 295,770  | 0  | 0  | 0  | 0  | 0  | 0  | 1,789                    | 1,789  | 0   | 0  | 0  |
| B8070603         | DENVER              | CO         |                | 10/10/2018         |                    | 444,146  | 0  | 0  | 0  | 0  | 0  | 0  | 2,643                    | 2,643  | 0   | 0  | 0  |
| A7110502         | ESTES PARK          | CO         |                | 02/14/2008         |                    | 231,620  | 0  | 0  | 0  | 0  | 0  | 0  | 3,512                    | 3,512  | 0   | 0  | 0  |
| A8080805         | PLATTEVILLE         | CO         |                | 11/13/2008         |                    | 514,232  | 0  | 0  | 0  | 0  | 0  | 0  | 7,884                    | 7,884  | 0   | 0  | 0  |
| B8100410         | PUEBLO              | CO         |                | 02/12/2019         |                    | 891,846  | 0  | 0  | 0  | 0  | 0  | 0  | 5,537                    | 5,537  | 0   | 0  | 0  |
| B0080612         | STRATFORD           | CT         |                | 11/09/2010         |                    | 205,302  | 0  | 0  | 0  | 0  | 0  | 0  | 4,818                    | 4,818  | 0   | 0  | 0  |
| B8091201         | WASHINGTON          | DC         |                | 01/10/2019         |                    | 639,073  | 0  | 0  | 0  | 0  | 0  | 0  | 2,861                    | 2,861  | 0   | 0  | 0  |
| B8102502         | BRADENTON           | FL         |                | 01/10/2019         |                    | 942,612  | 0  | 0  | 0  | 0  | 0  | 0  | 5,793                    | 5,793  | 0   | 0  | 0  |
| A6121506         | FORT MYERS          | FL         |                | 03/19/2007         |                    | 211,428  | 0  | 0  | 0  | 0  | 0  | 0  | 9,007                    | 9,007  | 0   | 0  | 0  |
| B8070301         | JACKSONVILLE        | FL         |                | 10/10/2018         |                    | 940,277  | 0  | 0  | 0  | 0  | 0  | 0  | 3,890                    | 3,890  | 0   | 0  | 0  |
| B5120302         | ORLANDO             | FL         |                | 03/10/2016         |                    | 1,068,515  | 0  | 0  | 0  | 0  | 0  | 0  | 22,673                   | 22,673   | 0   | 0  | 0  |
| A7073103         | ST AUGUSTINE        | FL         |                | 11/15/2007         |                    | 228,826  | 0  | 0  | 0  | 0  | 0  | 0  | 3,968                    | 3,968  | 0   | 0  | 0  |
| A8082204         | TAMPA               | FL         |                | 12/11/2008         |                    | 604,379  | 0  | 0  | 0  | 0  | 0  | 0  | 8,916                    | 8,916  | 0   | 0  | 0  |
| A6042901         | ACWORTH             | GA         |                | 08/15/2006         |                    | 328,282  | 0  | 0  | 0  | 0  | 0  | 0  | 6,734                    | 6,734  | 0   | 0  | 0  |
| B8103103         | ALPHARETTA          | GA         |                | 02/12/2019         |                    | 598,203  | 0  | 0  | 0  | 0  | 0  | 0  | 2,556                    | 2,556  | 0   | 0  | 0  |
| A6042902         | ATLANTA             | GA         |                | 07/17/2006         |                    | 135,914  | 0  | 0  | 0  | 0  | 0  | 0  | 2,818                    | 2,818  | 0   | 0  | 0  |
| A6042903         | ATLANTA             | GA         |                | 07/17/2006         |                    | 135,742  | 0  | 0  | 0  | 0  | 0  | 0  | 2,815                    | 2,815  | 0   | 0  | 0  |
| A8072803         | ATLANTA             | GA         |                | 10/10/2008         |                    | 418,377  | 0  | 0  | 0  | 0  | 0  | 0  | 2,380                    | 2,380  | 0   | 0  | 0  |
| A9081801         | ATLANTA             | GA         |                | 12/03/2009         |                    | 134,761  | 0  | 0  | 0  | 0  | 0  | 0  | 9,210                    | 9,210  | 0   | 0  | 0  |
| C0050102         | ATLANTA             | GA         |                | 08/11/2020         |                    | 674,823  | 0  | 0  | 0  | 0  | 0  | 0  | 16,466                   | 16,466   | 0   | 0  | 0  |
| B6020202         | BUFORD              | GA         |                | 05/10/2016         |                    | 531,560  | 0  | 0  | 0  | 0  | 0  | 0  | 4,138                    | 4,138  | 0   | 0  | 0  |
| A7121205         | CALHOUN             | GA         |                | 05/09/2008         |                    | 251,217  | 0  | 0  | 0  | 0  | 0  | 0  | 5,332                    | 5,332  | 0   | 0  | 0  |
| A6042908         | CUMMINGS            | GA         |                | 08/15/2006         |                    | 239,974  | 0  | 0  | 0  | 0  | 0  | 0  | 4,922                    | 4,922  | 0   | 0  | 0  |
| A9081803         | DECATUR             | GA         |                | 12/03/2009         |                    | 164,023  | 0  | 0  | 0  | 0  | 0  | 0  | 11,216                   | 11,216   | 0   | 0  | 0  |
| A9081809         | DECATUR             | GA         |                | 12/03/2009         |                    | 43,550   | 0  | 0  | 0  | 0  | 0  | 0  | 2,981                    | 2,981  | 0   | 0  | 0  |
| A6042904         | EAST POINT          | GA         |                | 07/17/2006         |                    | 138,629  | 0  | 0  | 0  | 0  | 0  | 0  | 2,874                    | 2,874  | 0   | 0  | 0  |
| A8030712         | GARDEN CITY         | GA         |                | 07/14/2008         |                    | 286,938  | 0  | 0  | 0  | 0  | 0  | 0  | 6,701                    | 6,701  | 0   | 0  | 0  |
| A9081804         | JONESBORO           | GA         |                | 12/03/2009         |                    | 78,412   | 0  | 0  | 0  | 0  | 0  | 0  | 5,365                    | 5,365  | 0   | 0  | 0  |
| B6071101         | KENNESAW            | GA         |                | 10/11/2016         |                    | 1,557,556  | 0  | 0  | 0  | 0  | 0  | 0  | 13,301                   | 13,301   | 0   | 0  | 0  |
| A9081808         | LITHONIA            | GA         |                | 12/03/2009         |                    | 63,325   | 0  | 0  | 0  | 0  | 0  | 0  | 4,337                    | 4,337  | 0   | 0  | 0  |
| B6071502         | MACON               | GA         |                | 09/13/2016         |                    | 1,191,319  | 0  | 0  | 0  | 0  | 0  | 0  | 9,689                    | 9,689  | 0   | 0  | 0  |
| A6042924         | MCDONOUGH           | GA         |                | 08/15/2006         |                    | 224,090  | 0  | 0  | 0  | 0  | 0  | 0  | 4,598                    | 4,598  | 0   | 0  | 0  |
| A9081807         | RIVERDALE           | GA         |                | 12/03/2009         |                    | 49,150   | 0  | 0  | 0  | 0  | 0  | 0  | 3,359                    | 3,359  | 0   | 0  | 0  |
| A9081806         | STONE MOUNTAIN      | GA         |                | 12/03/2009         |                    | 72,124   | 0  | 0  | 0  | 0  | 0  | 0  | 4,930                    | 4,930  | 0   | 0  | 0  |
| B6022203         | WOODSTOCK           | GA         |                | 05/10/2016         |                    | 816,960  | 0  | 0  | 0  | 0  | 0  | 0  | 12,152                   | 12,152   | 0   | 0  | 0  |
| A9061701         | CHICAGO             | IL         |                | 10/06/2009         |                    | 141,535  | 0  | 0  | 0  | 0  | 0  | 0  | 1,918                    | 1,918  | 0   | 0  | 0  |
| B1070609         | CHICAGO             | IL         |                | 01/25/2012         |                    | 519,201  | 0  | 0  | 0  | 0  | 0  | 0  | 6,050                    | 6,050  | 0   | 0  | 0  |
| B2061805         | CHICAGO             | IL         |                | 10/10/2012         |                    | 1,034,496  | 0  | 0  | 0  | 0  | 0  | 0  | 10,790                   | 10,790   | 0   | 0  | 0  |
| A8020806         | DES PLAINES         | IL         |                | 07/14/2008         |                    | 513,102  | 0  | 0  | 0  | 0  | 0  | 0  | 8,170                    | 8,170  | 0   | 0  | 0  |
| B6052703         | EDWARDSVILLE        | IL         |                | 09/13/2016         |                    | 1,526,369  | 0  | 0  | 0  | 0  | 0  | 0  | 24,110                   | 24,110   | 0   | 0  | 0  |
| A9071401         | GENEVA              | IL         |                | 10/06/2009         |                    | 139,924  | 0  | 0  | 0  | 0  | 0  | 0  | 11,098                   | 11,098   | 0   | 0  | 0  |
| B3080701         | SOUTH HOLLAND       | IL         |                | 11/13/2013         |                    | 452,151  | 0  | 0  | 0  | 0  | 0  | 0  | 4,281                    | 4,281  | 0   | 0  | 0  |
| B3080701-1       | SOUTH HOLLAND       | IL         |                | 06/11/2019         |                    | 170,358  | 0  | 0  | 0  | 0  | 0  | 0  | 1,032                    | 1,032  | 0   | 0  | 0  |
| B6010801         | INDIANAPOLIS        | IN         |                | 04/12/2016         |                    | 673,296  | 0  | 0  | 0  | 0  | 0  | 0  | 5,962                    | 5,962  | 0   | 0  | 0  |
| B8103104         | INDIANAPOLIS        | IN         |                | 02/12/2019         |                    | 743,264  | 0  | 0  | 0  | 0  | 0  | 0  | 2,945                    | 2,945  | 0   | 0  | 0  |
| B7090603         | BALTIMORE           | MD         |                | 11/14/2017         |                    | 423,981  | 0  | 0  | 0  | 0  | 0  | 0  | 2,982                    | 2,982  | 0   | 0  | 0  |
| C0121801         | BALTIMORE           | MD         |                | 03/10/2021         |                    | 1,766,664  | 0  | 0  | 0  | 0  | 0  | 0  | 8,713                    | 8,713  | 0   | 0  | 0  |
| B2041206         | BRENTWOOD           | MD         |                | 08/09/2012         |                    | 127,659  | 0  | 0  | 0  | 0  | 0  | 0  | 4,873                    | 4,873  | 0   | 0  | 0  |
| B6081802         | AUBURN HILLS        | MI         |                | 12/13/2016         |                    | 551,160  | 0  | 0  | 0  | 0  | 0  | 0  | 6,480                    | 6,480  | 0   | 0  | 0  |
| B7101302         | BIRMINGHAM          | MI         |                | 02/13/2018         |                    | 1,502,008  | 0  | 0  | 0  | 0  | 0  | 0  | 10,269                   | 10,269   | 0   | 0  | 0  |
| B5030901         | DETROIT             | MI         |                | 07/10/2015         |                    | 597,716  | 0  | 0  | 0  | 0  | 0  | 0  | 7,548                    | 7,548  | 0   | 0  | 0  |
| A8123102         | SHERIDAN            | MI         |                | 03/12/2009         |                    | 246,232  | 0  | 0  | 0  | 0  | 0  | 0  | 7,059                    | 7,059  | 0   | 0  | 0  |
| A7071105         | WASHINGTON TOWNSHIP | MI         |                | 10/16/2007         |                    | 165,653  | 0  | 0  | 0  | 0  | 0  | 0  | 5,872                    | 5,872  | 0   | 0  | 0  |
| B6052303         | KANSAS CITY         | MO         |                | 09/13/2016         |                    | 1,334,525  | 0  | 0  | 0  | 0  | 0  | 0  | 16,529                   | 16,529   | 0   | 0  | 0  |
| B6072802         | ST PETERS           | MO         |                | 12/13/2016         |                    | 220,509  | 0  | 0  | 0  | 0  | 0  | 0  | 4,479                    | 4,479  | 0   | 0  | 0  |
| B8092802         | CARY                | NC         |                | 01/10/2019         |                    | 757,877  | 0  | 0  | 0  | 0  | 0  | 0  | 4,647                    | 4,647  | 0   | 0  | 0  |

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STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number | 2 Location    |            | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest<br>Prior Year | 8 Change in Book Value/Recorded Investment             |  |  |  |  | 14<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest on<br>Disposal | 15<br>Consid-<br>eration | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total<br>Gain<br>(Loss) on<br>Disposal |  |
|------------------|---------------|------------|----------------|--------------------|--------------------|--|--|--|--|--|--|--|--------------------------|--|---|--|--|
|                  | 2<br>City     | 3<br>State |                |                    |                    |  | 8<br>Unrealized<br>Valuation<br>Increase<br>(Decrease) | 9<br>Current<br>Year's<br>(Amortization)<br>/Accretion | 10<br>Current<br>Year's Other-<br>Than-<br>Temporary<br>Impairment<br>Recognized | 11<br>Capitalized<br>Deferred<br>Interest and<br>Other | 12<br>Total<br>Change<br>in<br>Book Value<br>(8+9-10+11) |  |                          |  |   |  | 13<br>Total Foreign<br>Exchange<br>Change in<br>Book Value |
| B1120901         | CHARLOTTE     | NC         |                | 03/08/2012         |                    | 528,261  | 0  | 0  | 0  | 0  | 0  | 0  | 5,635                    | 5,635  | 0   | 0  | 0  |
| B7080301         | CHARLOTTE     | NC         |                | 11/14/2017         |                    | 252,969  | 0  | 0  | 0  | 0  | 0  | 0  | 2,678                    | 2,678  | 0   | 0  | 0  |
| B9052302         | GREENSBORO    | NC         |                | 09/10/2019         |                    | 922,426  | 0  | 0  | 0  | 0  | 0  | 0  | 5,916                    | 5,916  | 0   | 0  | 0  |
| B5111603         | RAEFORD       | NC         |                | 02/10/2016         |                    | 1,960,135  | 0  | 0  | 0  | 0  | 0  | 0  | 16,434                   | 16,434   | 0   | 0  | 0  |
| A7062104         | MATAWAN       | NJ         |                | 10/16/2007         |                    | 190,838  | 0  | 0  | 0  | 0  | 0  | 0  | 3,246                    | 3,246  | 0   | 0  | 0  |
| B4060901         | RIO RANCHO    | NM         |                | 08/11/2014         |                    | 480,602  | 0  | 0  | 0  | 0  | 0  | 0  | 4,372                    | 4,372  | 0   | 0  | 0  |
| B6051801         | SANTA FE      | NM         |                | 09/13/2016         |                    | 2,243,452  | 0  | 0  | 0  | 0  | 0  | 0  | 17,795                   | 17,795   | 0   | 0  | 0  |
| B7090504         | HENDERSON     | NV         |                | 12/12/2017         |                    | 1,391,777  | 0  | 0  | 0  | 0  | 0  | 0  | 9,633                    | 9,633  | 0   | 0  | 0  |
| B8091102         | LAS VEGAS     | NV         |                | 01/10/2019         |                    | 415,372  | 0  | 0  | 0  | 0  | 0  | 0  | 1,754                    | 1,754  | 0   | 0  | 0  |
| B8110202         | LAS VEGAS     | NV         |                | 02/12/2019         |                    | 370,052  | 0  | 0  | 0  | 0  | 0  | 0  | 2,261                    | 2,261  | 0   | 0  | 0  |
| C0051809         | LAS VEGAS     | NV         |                | 08/11/2020         |                    | 866,393  | 0  | 0  | 0  | 0  | 0  | 0  | 5,622                    | 5,622  | 0   | 0  | 0  |
| B6071901         | BRONX         | NY         |                | 10/11/2016         |                    | 606,554  | 0  | 0  | 0  | 0  | 0  | 0  | 7,218                    | 7,218  | 0   | 0  | 0  |
| A7011701         | CHEEKTOWAGA   | NY         |                | 06/18/2007         |                    | 83,645   | 0  | 0  | 0  | 0  | 0  | 0  | 3,202                    | 3,202  | 0   | 0  | 0  |
| A9040802         | LATHAM        | NY         |                | 07/01/2009         |                    | 186,047  | 0  | 0  | 0  | 0  | 0  | 0  | 5,079                    | 5,079  | 0   | 0  | 0  |
| B4040701         | YONKERS       | NY         |                | 08/11/2014         |                    | 1,322,916  | 0  | 0  | 0  | 0  | 0  | 0  | 11,451                   | 11,451   | 0   | 0  | 0  |
| B6102405         | CINCINNATI    | OH         |                | 04/11/2017         |                    | 284,882  | 0  | 0  | 0  | 0  | 0  | 0  | 5,373                    | 5,373  | 0   | 0  | 0  |
| A5033003         | EATON         | OH         |                | 07/27/2005         |                    | 146,413  | 0  | 0  | 0  | 0  | 0  | 0  | 9,531                    | 9,531  | 0   | 0  | 0  |
| A7103107         | OREGON        | OH         |                | 02/14/2008         |                    | 180,219  | 0  | 0  | 0  | 0  | 0  | 0  | 6,304                    | 6,304  | 0   | 0  | 0  |
| B0030903         | STRONGSVILLE  | OH         |                | 06/08/2010         |                    | 16,693   | 0  | 0  | 0  | 0  | 0  | 0  | 8,309                    | 8,309  | 0   | 0  | 0  |
| B8041202         | WESTLAKE      | OH         |                | 07/10/2018         |                    | 624,613  | 0  | 0  | 0  | 0  | 0  | 0  | 5,828                    | 5,828  | 0   | 0  | 0  |
| B6062701         | KEIZER        | OR         |                | 10/11/2016         |                    | 304,604  | 0  | 0  | 0  | 0  | 0  | 0  | 2,531                    | 2,531  | 0   | 0  | 0  |
| B8060402         | PORTLAND      | OR         |                | 09/11/2018         |                    | 439,161  | 0  | 0  | 0  | 0  | 0  | 0  | 6,860                    | 6,860  | 0   | 0  | 0  |
| B8101202         | PORTLAND      | OR         |                | 01/10/2019         |                    | 637,040  | 0  | 0  | 0  | 0  | 0  | 0  | 3,982                    | 3,982  | 0   | 0  | 0  |
| B3030111         | THE DALLES    | OR         |                | 06/11/2013         |                    | 371,801  | 0  | 0  | 0  | 0  | 0  | 0  | 5,855                    | 5,855  | 0   | 0  | 0  |
| B5052602         | TIGARD        | OR         |                | 10/13/2015         |                    | 1,489,981  | 0  | 0  | 0  | 0  | 0  | 0  | 12,320                   | 12,320   | 0   | 0  | 0  |
| A5080202         | SHARON HILL   | PA         |                | 10/14/2005         |                    | 150,807  | 0  | 0  | 0  | 0  | 0  | 0  | 5,170                    | 5,170  | 0   | 0  | 0  |
| A7031301         | WEST CHESTER  | PA         |                | 06/18/2007         |                    | 311,952  | 0  | 0  | 0  | 0  | 0  | 0  | 5,269                    | 5,269  | 0   | 0  | 0  |
| B6061402         | ROCK HILL     | SC         |                | 10/11/2016         |                    | 592,087  | 0  | 0  | 0  | 0  | 0  | 0  | 4,721                    | 4,721  | 0   | 0  | 0  |
| A7111503         | KNOXVILLE     | TN         |                | 02/14/2008         |                    | 289,750  | 0  | 0  | 0  | 0  | 0  | 0  | 10,255                   | 10,255   | 0   | 0  | 0  |
| C0042402         | MEMPHIS       | TN         |                | 07/10/2020         |                    | 918,298  | 0  | 0  | 0  | 0  | 0  | 0  | 6,090                    | 6,090  | 0   | 0  | 0  |
| B2110502         | NASHVILLE     | TN         |                | 02/12/2013         |                    | 294,955  | 0  | 0  | 0  | 0  | 0  | 0  | 10,559                   | 10,559   | 0   | 0  | 0  |
| A9080501         | ARLINGTON     | TX         |                | 10/06/2009         |                    | 65,869   | 0  | 0  | 0  | 0  | 0  | 0  | 946                      | 946  | 0   | 0  | 0  |
| B6100604         | ARLINGTON     | TX         |                | 12/13/2016         |                    | 404,645  | 0  | 0  | 0  | 0  | 0  | 0  | 4,758                    | 4,758  | 0   | 0  | 0  |
| B0052102         | AUSTIN        | TX         |                | 08/10/2010         |                    | 135,362  | 0  | 0  | 0  | 0  | 0  | 0  | 3,285                    | 3,285  | 0   | 0  | 0  |
| B4101010         | AUSTIN        | TX         |                | 02/12/2015         |                    | 654,775  | 0  | 0  | 0  | 0  | 0  | 0  | 5,863                    | 5,863  | 0   | 0  | 0  |
| C0030903         | BAYTOWN       | TX         |                | 07/10/2020         |                    | 5,288,845  | 0  | 0  | 0  | 0  | 0  | 0  | 36,257                   | 36,257   | 0   | 0  | 0  |
| B2053102         | BOERNE        | TX         |                | 10/10/2012         |                    | 501,707  | 0  | 0  | 0  | 0  | 0  | 0  | 4,966                    | 4,966  | 0   | 0  | 0  |
| B8100412         | BROWNSVILLE   | TX         |                | 01/10/2019         |                    | 475,575  | 0  | 0  | 0  | 0  | 0  | 0  | 2,804                    | 2,804  | 0   | 0  | 0  |
| B0061002         | CEDAR HILL    | TX         |                | 09/07/2010         |                    | 331,959  | 0  | 0  | 0  | 0  | 0  | 0  | 4,387                    | 4,387  | 0   | 0  | 0  |
| B8100417         | COPPERAS COVE | TX         |                | 01/10/2019         |                    | 831,699  | 0  | 0  | 0  | 0  | 0  | 0  | 5,195                    | 5,195  | 0   | 0  | 0  |
| B6012002         | DALLAS        | TX         |                | 04/12/2016         |                    | 759,047  | 0  | 0  | 0  | 0  | 0  | 0  | 6,126                    | 6,126  | 0   | 0  | 0  |
| B2112601         | EL PASO       | TX         |                | 03/12/2013         |                    | 212,974  | 0  | 0  | 0  | 0  | 0  | 0  | 13,802                   | 13,802   | 0   | 0  | 0  |
| B4090901         | EL PASO       | TX         |                | 02/12/2015         |                    | 328,790  | 0  | 0  | 0  | 0  | 0  | 0  | 3,057                    | 3,057  | 0   | 0  | 0  |
| A6030905         | FORT WORTH    | TX         |                | 06/19/2006         |                    | 85,896   | 0  | 0  | 0  | 0  | 0  | 0  | 4,135                    | 4,135  | 0   | 0  | 0  |
| B6111601         | FORT WORTH    | TX         |                | 03/10/2017         |                    | 577,067  | 0  | 0  | 0  | 0  | 0  | 0  | 6,556                    | 6,556  | 0   | 0  | 0  |
| A6090801         | HOUSTON       | TX         |                | 12/11/2006         |                    | 664,960  | 0  | 0  | 0  | 0  | 0  | 0  | 11,991                   | 11,991   | 0   | 0  | 0  |
| B3112204         | HOUSTON       | TX         |                | 03/11/2014         |                    | 302,664  | 0  | 0  | 0  | 0  | 0  | 0  | 2,818                    | 2,818  | 0   | 0  | 0  |
| B4122901         | HOUSTON       | TX         |                | 04/10/2015         |                    | 2,447,555  | 0  | 0  | 0  | 0  | 0  | 0  | 22,180                   | 22,180   | 0   | 0  | 0  |
| B6120701         | HOUSTON       | TX         |                | 04/11/2017         |                    | 1,394,504  | 0  | 0  | 0  | 0  | 0  | 0  | 10,400                   | 10,400   | 0   | 0  | 0  |
| B7092901         | HOUSTON       | TX         |                | 11/14/2017         |                    | 650,658  | 0  | 0  | 0  | 0  | 0  | 0  | 4,629                    | 4,629  | 0   | 0  | 0  |
| B0032510         | PFLUGERVILLE  | TX         |                | 07/08/2010         |                    | 109,027  | 0  | 0  | 0  | 0  | 0  | 0  | 2,691                    | 2,691  | 0   | 0  | 0  |
| B3102101         | ROUND ROCK    | TX         |                | 02/11/2014         |                    | 552,686  | 0  | 0  | 0  | 0  | 0  | 0  | 8,016                    | 8,016  | 0   | 0  | 0  |
| A6110704         | SAN ANTONIO   | TX         |                | 02/20/2007         |                    | 401,377  | 0  | 0  | 0  | 0  | 0  | 0  | 8,026                    | 8,026  | 0   | 0  | 0  |
| B0080607         | SAN ANTONIO   | TX         |                | 11/09/2010         |                    | 122,567  | 0  | 0  | 0  | 0  | 0  | 0  | 7,215                    | 7,215  | 0   | 0  | 0  |
| B2042402         | SAN ANTONIO   | TX         |                | 08/09/2012         |                    | 390,536  | 0  | 0  | 0  | 0  | 0  | 0  | 3,881                    | 3,881  | 0   | 0  | 0  |
| B8030903         | SAN ANTONIO   | TX         |                | 07/10/2018         |                    | 309,410  | 0  | 0  | 0  | 0  | 0  | 0  | 1,372                    | 1,372  | 0   | 0  | 0  |
| B8072001         | SAN ANTONIO   | TX         |                | 10/10/2018         |                    | 356,343  | 0  | 0  | 0  | 0  | 0  | 0  | 2,248                    | 2,248  | 0   | 0  | 0  |
| B0061005         | SAN MARCOS    | TX         |                | 09/07/2010         |                    | 254,792  | 0  | 0  | 0  | 0  | 0  | 0  | 3,245                    | 3,245  | 0   | 0  | 0  |
| B8100403         | SAN MARCOS    | TX         |                | 01/10/2019         |                    | 408,001  | 0  | 0  | 0  | 0  | 0  | 0  | 2,549                    | 2,549  | 0   | 0  | 0  |
| B7051204         | WICHITA FALLS | TX         |                | 08/10/2017         |                    | 2,166,906  | 0  | 0  | 0  | 0  | 0  | 0  | 14,730                   | 14,730   | 0   | 0  | 0  |

STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br>Loan Number                           | 2 Location       |            | 4<br>Loan Type | 5<br>Date Acquired | 6<br>Disposal Date | 7<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest<br>Prior Year | 8 Change in Book Value/Recorded Investment             |  |  |  |  | 14<br>Book Value/<br>Recorded Investment<br>Excluding<br>Accrued Interest on<br>Disposal | 15<br>Consid-<br>eration | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total<br>Gain<br>(Loss) on<br>Disposal |  |
|--|------------------|------------|----------------|--------------------|--------------------|--|--|--|--|--|--|--|--------------------------|--|---|--|--|
|  | 2<br>City        | 3<br>State |                |                    |                    |  | 8<br>Unrealized<br>Valuation<br>Increase<br>(Decrease) | 9<br>Current<br>Year's<br>(Amortization)<br>/Accretion | 10<br>Current<br>Year's Other-<br>Than-<br>Temporary<br>Impairment<br>Recognized | 11<br>Capitalized<br>Deferred<br>Interest and<br>Other | 12<br>Total<br>Change<br>in<br>Book Value<br>(8+9-10+11) |  |                          |  |   |  | 13<br>Total Foreign<br>Exchange<br>Change in<br>Book Value |
| A8081905                                   | LOGAN            | UT         |                | 11/13/2008         |                    | 405,567  | 0  | 0  | 0  | 0  | 0  | 0  | 6,218                    | 6,218  | 0   | 0  | 0  |
| A8082901                                   | TAYLORSVILLE     | UT         |                | 11/13/2008         |                    | 218,226  | 0  | 0  | 0  | 0  | 0  | 0  | 3,401                    | 3,401  | 0   | 0  | 0  |
| A8122302                                   | CHESAPEAKE       | VA         |                | 03/12/2009         |                    | 723,666  | 0  | 0  | 0  | 0  | 0  | 0  | 10,779                   | 10,779   | 0   | 0  | 0  |
| B9062401                                   | NORFOLK          | VA         |                | 09/10/2019         |                    | 870,689  | 0  | 0  | 0  | 0  | 0  | 0  | 5,713                    | 5,713  | 0   | 0  | 0  |
| B9062401-1                                 | NORFOLK          | VA         |                | 05/11/2021         |                    | 480,329  | 0  | 0  | 0  | 0  | 0  | 0  | 3,308                    | 3,308  | 0   | 0  | 0  |
| B8050201                                   | POUNDRING MILL   | VA         |                | 09/11/2018         |                    | 619,030  | 0  | 0  | 0  | 0  | 0  | 0  | 2,613                    | 2,613  | 0   | 0  | 0  |
| B3121702                                   | MERCER ISLAND    | WA         |                | 03/11/2014         |                    | 147,904  | 0  | 0  | 0  | 0  | 0  | 0  | 2,410                    | 2,410  | 0   | 0  | 0  |
| A8052801                                   | MUKILTEO         | WA         |                | 09/11/2008         |                    | 19,030   | 0  | 0  | 0  | 0  | 0  | 0  | 6,290                    | 6,290  | 0   | 0  | 0  |
| A8011103                                   | RICHLAND         | WA         |                | 06/12/2008         |                    | 553,900  | 0  | 0  | 0  | 0  | 0  | 0  | 8,973                    | 8,973  | 0   | 0  | 0  |
| B0061103                                   | SEATTLE          | WA         |                | 09/07/2010         |                    | 161,042  | 0  | 0  | 0  | 0  | 0  | 0  | 2,169                    | 2,169  | 0   | 0  | 0  |
| A8061209                                   | SHORELINE        | WA         |                | 09/11/2008         |                    | 266,400  | 0  | 0  | 0  | 0  | 0  | 0  | 4,262                    | 4,262  | 0   | 0  | 0  |
| B7072103                                   | TACOMA           | WA         |                | 11/14/2017         |                    | 306,748  | 0  | 0  | 0  | 0  | 0  | 0  | 2,094                    | 2,094  | 0   | 0  | 0  |
| B8112701                                   | UNION GAP        | WA         |                | 02/12/2019         |                    | 464,040  | 0  | 0  | 0  | 0  | 0  | 0  | 2,833                    | 2,833  | 0   | 0  | 0  |
| B3050703                                   | UNIVERSITY PLACE | WA         |                | 08/09/2013         |                    | 208,692  | 0  | 0  | 0  | 0  | 0  | 0  | 6,724                    | 6,724  | 0   | 0  | 0  |
| B6111802                                   | MILWAUKEE        | WI         |                | 02/10/2017         |                    | 947,993  | 0  | 0  | 0  | 0  | 0  | 0  | 7,160                    | 7,160  | 0   | 0  | 0  |
| 0299999. Mortgages with partial repayments |                  |            |                |                    |                    | 117,425,131  | 0  | 0  | 0  | 0  | 0  | 0  | 1,321,014                | 1,321,014  | 0   | 0  | 0  |
| 0599999 - Totals                           |                  |            |                |                    |                    | 122,116,991  | 0  | 0  | 0  | 0  | 0  | 0  | 6,012,874                | 6,012,874  | 0   | 0  | 0  |

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**



STATEMENT AS OF MARCH 31, 2022 OF THE STANDARD LIFE INSURANCE COMPANY OF NEW YORK

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1  | 2                     | 3       | 4             | 5                                 | 6                         | 7           | 8          | 9                                       | 10  |
|--|-----------------------|---------|---------------|-----------------------------------|---------------------------|-------------|------------|---|---|
| CUSIP Identification   | Description           | Foreign | Date Acquired | Name of Vendor                    | Number of Shares of Stock | Actual Cost | Par Value  | Paid for Accrued Interest and Dividends | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| 13032U-D2-1  | CA ST HLTH FACS AUTH  |         | 03/30/2022    | RAYMOND JAMES & ASSOCIATES        |                           | 2,000,000   | 2,000,000  | 0                                       | 1.D FE  |
| 64966W-HC-4  | NYC HSG DEV CORP-TXBL |         | 03/25/2022    | JP MORGAN CHASE                   |                           | 5,000,000   | 5,000,000  | 0                                       | 1.D FE  |
| 64990G-TZ-5  | DASNY -B -TXBL -REF   |         | 03/30/2022    | SIEBERT BRANDFORD SHANK & CO, LLC |                           | 943,320     | 1,000,000  | 6,835                                   | 1.E FE  |
| 0909999999. Subtotal - Bonds - U.S. Special Revenues                       |                       |         |               |                                   |                           | 7,943,320   | 8,000,000  | 6,835                                   | XXX   |
| 40434L-AK-1  | HP INC                |         | 03/29/2022    | BNP PARIBUS                       |                           | 2,993,010   | 3,000,000  | 0                                       | 2.A FE  |
| 46124H-AD-8  | INTUIT INC            |         | 03/23/2022    | GOLDMAN SACHS & COMPANY           |                           | 2,661,120   | 3,000,000  | 9,625                                   | 1.G FE  |
| 50220P-AC-7  | LSEGA FINANCING PLC   | D       | 03/23/2022    | CITIGROUP GLOBAL MARKETS          |                           | 1,045,411   | 1,143,000  | 10,732                                  | 1.G FE  |
| 1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) |                       |         |               |                                   |                           | 6,699,541   | 7,143,000  | 20,357                                  | XXX   |
| 2509999997. Total - Bonds - Part 3   |                       |         |               |                                   |                           | 14,642,861  | 15,143,000 | 27,192                                  | XXX   |
| 2509999998. Total - Bonds - Part 5   |                       |         |               |                                   |                           | XXX         | XXX        | XXX                                     | XXX   |
| 2509999999. Total - Bonds  |                       |         |               |                                   |                           | 14,642,861  | 15,143,000 | 27,192                                  | XXX   |
| 4509999997. Total - Preferred Stocks - Part 3                              |                       |         |               |                                   |                           | 0           | XXX        | 0                                       | XXX   |
| 4509999998. Total - Preferred Stocks - Part 5                              |                       |         |               |                                   |                           | XXX         | XXX        | XXX                                     | XXX   |
| 4509999999. Total - Preferred Stocks                                       |                       |         |               |                                   |                           | 0           | XXX        | 0                                       | XXX   |
| 5989999997. Total - Common Stocks - Part 3                                 |                       |         |               |                                   |                           | 0           | XXX        | 0                                       | XXX   |
| 5989999998. Total - Common Stocks - Part 5                                 |                       |         |               |                                   |                           | XXX         | XXX        | XXX                                     | XXX   |
| 5989999999. Total - Common Stocks  |                       |         |               |                                   |                           | 0           | XXX        | 0                                       | XXX   |
| 5999999999. Total - Preferred and Common Stocks                            |                       |         |               |                                   |                           | 0           | XXX        | 0                                       | XXX   |
| 6009999999 - Totals  |                       |         |               |                                   |                           | 14,642,861  | XXX        | 27,192                                  | XXX   |

Schedule D - Part 4 - Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed Of

**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

### SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1<br>Depository  | 2<br>Code | 3<br>Rate of Interest | 4<br>Amount of Interest Received During Current Quarter | 5<br>Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter |                   |                  | 9<br>* |
|--|-----------|-----------------------|---|---|--|-------------------|------------------|--------|
|  |           |                       |   |   | 6<br>First Month   | 7<br>Second Month | 8<br>Third Month |        |
| US Bank ..... Portland, OR .....   |           | 0.000                 | 0   | 0   | 28,041,422   | 29,124,375        | 19,707,580       | XXX    |
| Wells Fargo Bank ..... Portland, OR .....  |           | 0.000                 | 0   | 0   | 10,740,652   | 13,922,642        | 9,206,268        | XXX    |
| JP Morgan Chase ..... New York, NY .....   |           | 0.000                 | 0   | 0   | 483,782  | 313,688           | 264,438          | XXX    |
| 0199998. Deposits in ... 2 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories      | XXX       | XXX                   | 0   | 0   | 146,109  | 163,737           | 140,963          | XXX    |
| 0199999. Totals - Open Depositories  | XXX       | XXX                   | 0   | 0   | 39,411,965   | 43,524,442        | 29,319,249       | XXX    |
| 0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories | XXX       | XXX                   | 0   | 0   | 0  | 0                 | 0                | XXX    |
| 0299999. Totals - Suspended Depositories   | XXX       | XXX                   | 0   | 0   | 0  | 0                 | 0                | XXX    |
| 0399999. Total Cash on Deposit   | XXX       | XXX                   | 0   | 0   | 39,411,965   | 43,524,442        | 29,319,249       | XXX    |
| 0499999. Cash in Company's Office  | XXX       | XXX                   | XXX   | XXX   | 0  | 0                 | 0                | XXX    |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
|  |           |                       |   |   |  |                   |                  |        |
| 0599999. Total - Cash  | XXX       | XXX                   | 0   | 0   | 39,411,965   | 43,524,442        | 29,319,249       | XXX    |

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

| 1<br>CUSIP                          | 2<br>Description | 3<br>Code | 4<br>Date Acquired | 5<br>Rate of Interest | 6<br>Maturity Date | 7<br>Book/Adjusted<br>Carrying Value | 8<br>Amount of Interest<br>Due and Accrued | 9<br>Amount Received<br>During Year |
|-------------------------------------|------------------|-----------|--------------------|-----------------------|--------------------|--------------------------------------|--|-------------------------------------|
| <b>NONE</b>                         |                  |           |                    |                       |                    |                                      |  |                                     |
| 8609999999 - Total Cash Equivalents |                  |           |                    |                       |                    |                                      |  |                                     |